

#### APPENDIX OF EXHIBITS IN SUPPORT OF MOTION FOR CLASS CERTIFICATION

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#### IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

OSCAR PRIVATE EQUITY INVESTMENTS,

Individually and o/bo all others similarly

situated

Plaintiff : Civil No. 3:03-CV-2761-H

v.

( **>** 

Royce J. Holland and Anthony Parella

Defendants

Report of Candace L. Preston, CFA in Support of Plaintiffs' Motion for Class Certification

#### I. Background and Qualifications

- 1. I am a founding partner of Financial Markets Analysis, LLC and submit this report in support of Plaintiffs' motion for Class Certification.
- 2. To summarize my qualifications, I am a graduate of Eastern Michigan
  University and received an MBA from the Wharton School of Finance, University of
  Pennsylvania. I am a founding member of Financial Markets Analysis, LLC ("FMA"),
  which is a valuation and securities analysis firm with offices located in Princeton, New
  Jersey and San Diego, California. FMA provides valuation, financial analysis and related
  consulting to its clients. FMA personnel have frequently been called upon to prepare
  reports and to testify as experts in class actions under Federal and State securities laws.
  Such testimony has regularly included market efficiency, the materiality of information
  conveyed to investors, loss causation, the valuation of publicly traded securities based

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upon the absence of alleged misstatements and/or the disclosure of alleged omissions and misrepresentations and damages caused by the alleged misstatements and omissions.

- 3. Prior to joining FMA I was a managing director at BNY Capital Markets, Inc. ("BNY"), a wholly owned subsidiary of the Bank of New York. At BNY, I was responsible for valuations done in conjunction with fairness opinions, mergers and acquisitions, private financings and other investment banking projects.
- Prior to joining BNY I was a founding partner of Triumph Partners, LLC ("Triumph"), a valuation and consulting firm. Before founding Triumph, I was an executive vice president of Princeton Venture Research, Inc. ("PVR").
- A copy of my curriculum vitae is attached as Exhibit A. A summary of the matters in which I have rendered testimony either at trial or in a deposition within the last four years is attached as Exhibit B.
  - I have not authored any publications within the past ten years. 6.
- FMA is being compensated in this matter based on the number of hours 7. expended at the rates charged for personnel, which range from \$75 to \$400 per hour, plus out-of-pocket expenses. My hourly rate is \$400. Our compensation is in no way contingent upon the outcome of this matter.

#### II. Scope of Engagement

I have been retained by Plaintiffs' counsel in this action to render an 8. opinion regarding the market for Allegiance Telecom, Inc. ("Allegiance" or "the Company") common stock during the period from April 24, 2001 through February 19, 2002, inclusive (the "Class Period"). For the reasons set forth below it is my opinion that Allegiance stock was widely traded by numerous market participants; demonstrated

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sufficient turnover of shares; was closely followed by security analysts at numerous investment firms; that the market in which Allegiance stock traded was open, welldeveloped, impersonal, and efficient; that the stock price rapidly reflected new, relevant publicly available information concerning the Company; and that the stock demonstrated all indicia of market efficiency.

#### III. Basis for Opinions

- 9. My opinions are based upon my professional knowledge and experience, a comprehensive review of documents and information relevant to this matter, an analysis of the available trading information for Allegiance stock and relevant market information.
  - 10. In formulating my opinion, I have reviewed the following:
    - a) Amended Class Action Complaint ("CAC") filed in this matter;
    - b) Memorandum Opinion and Order dated June 10, 2004;
    - c) Daily stock price and volume data and shares outstanding for Allegiance as well as similar data for comparative companies;
    - d) Institutional shareholder information for Allegiance reported by Thomson Financial;
    - e) Allegiance insider share holdings reported by the Company;
    - f) Allegiance's filings with the Securities Exchange Commission ("SEC") including Forms 10-Q and 10-K, Proxy Statements, Forms 8-K and Form S-3 registration statement;
    - g) Analyst reports written about the Company and other companies competing in the telecommunications sector during the relevant time period;
    - h) News articles and press releases disseminated about the Company and the industry during the relevant time period;
    - i) Industry index data including daily index values during the relevant time period; and

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- j) Market maker reports provided by NASDAQ.
- 11. I expect to review additional information and documents, including information and documents that may become available through the reports and depositions of other expert witnesses.

#### IV. Defining an Efficient Market

- 12. The concept of an "efficient" market evolved from the Ph.D. dissertation of Eugene Fama. Dr. Fama made the argument that in an active market that includes many well-informed and intelligent investors, securities prices will reflect all available information. If the market is efficient, no investment based on information or analysis can be expected to consistently outperform an appropriate benchmark. The concept of market efficiency has been studied extensively following Dr. Fama's initial work. The Efficient Market Hypothesis (the "EMH") postulates there are three forms of market efficiency weak, semi-strong and strong. The three forms of efficient markets are distinguished by the degree of information reflected in securities prices.
- 13. The weak form postulates that stock prices reflect information about their past prices, and is widely accepted by market participants. If markets are weak-form efficient, it is impossible to earn consistent profits by studying past returns, alone. The market is said to "have no memory" regarding past stock prices. At the other end of the spectrum is the perfectly efficient market, or strong-form efficient. In a strong-form efficient market, stock prices reflect all information about a stock, including non-public

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<sup>&</sup>lt;sup>1</sup> Fama, Eugene F., "Random Walks in Stock Market Prices," *Financial Analysts Journal*, September/October 1965

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information. Market participants generally agree that strong- form efficiency is an ideal, with very little real-world existence.

- 14. The semi-strong form of efficiency postulates that stock prices reflect all public information. In markets that are semi-strong efficient, stock prices adjust rapidly to public information. The speed with which a stock price adjusts to new information depends upon the nature of the new information, and how quickly one is able to digest the implications of the information. There have been numerous studies done to ascertain how quickly stock prices respond to new information, including earnings announcements, merger announcements, and other macroeconomic news. Most studies have found the United States equities markets to be semi-strong efficient, with stock prices responding quickly to new information.
- 15. Analysts typically examine a number of factors to determine market efficiency including the number and depth of market participants, the availability of information about the stock, and the responsiveness of the stock price to the disclosure of new information.
- 16. Case law precedent also exists on the indicators of market efficiency. The Court in Cammer v. Bloom, 711 F. Supp. 1264 (D.N.J. 1989), listed five factors to be considered in determining whether the market for a given stock is efficient. Those factors are as follows:
- whether the stock was actively traded, as evidenced by a large weekly volume of stock trades, such as an average weekly turnover of one or two percent of the outstanding shares;
- whether a significant number of securities analysts followed and reported on the stock during the class period;
  - whether the stock had numerous market makers; (c)

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- whether the Company was eligible to file a Form S-3 Registration Statement, the "short form", in connection with public offerings; and
- the existence of empirical facts that show a cause and effect relationship between unexpected corporate events and financial releases and an immediate response in the stock price.<sup>2</sup>
- The following sections address the five factors outlined in Cammer v. 17. Bloom, and show that Allegiance satisfies each criterion and that its stock should be presumed to have traded in an efficient market.

#### V. Opinions

#### (A) Allegiance Was Actively Traded Throughout the Class Period

- At the beginning of the Class Period, there were over 112 million common 18. shares issued and outstanding. The reported ownership of Allegiance shares by institutional investors, including pension funds, mutual funds, banks, and other professional investors was approximately 68%, or more than 76 million shares. Allegiance shares were traded on the NASDAQ National Market System ("NASDAQ NMS"), under the ticker symbol ALGX.
- I examined the average weekly trading volume, reported by NASDAQ, 19. relative to the number of shares outstanding for the Class Period, and calendar years surrounding the Class Period. Overall, the average weekly share turnover as a percentage of shares outstanding far exceeded the benchmark provided by Cammer of 1-2%. Recognizing that NASDAQ reported volume may overstate the number of shares being exchanged by buyer and seller by as much as 50%, I also reduced the reported weekly

<sup>&</sup>lt;sup>2</sup> Cammer, 711 F. Supp. at 1286-87

volume by 50% and again calculated the turnover. My results consistently demonstrated more than adequate turnover relative to the shares outstanding.

	Average Reported	Shares	Weekly	50% Volume	Weekly
Period	Weekly Volume	Outstanding <sup>1</sup>	Turnover %	Reduction	Turnover %
Class Period	8,396,716	112,693,439	7.5%	4,198,358	3.7%
Calendar Year 2000	5,166,655	97,434,365	5.3%	2,583,327	2.7%
Calendar Year 2001	8,817,212	110,064,619	8.0%	4,408,606	4.0%
Calendar Year 2002	9,099,712	115,214,859	7.9%	4,549,856	3.9%

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According to Allegiance's Form 10-K for 2000, its stock was held by 224 owners of record as of March 26, 2001. Allegiance explained further that there were likely many more holders, as the reported number of holders, "excludes stockholders whose stock is held in nominee or street name by brokers and we believe that we have a significantly larger number of beneficial holders of common stock." It is my experience that only a small fraction of shares are held in the beneficial owner's name and the number of beneficial owners whose shares are held in street name is many times as large as those held in the owner's name.

- 20. The Class Period spans approximately 4 calendar quarters, beginning in the 2nd quarter of 2001 and ending the 1st quarter of 2002. During that time, more than 100 reporting institutional investors owned, on average, 68% of the common shares outstanding. These shareholders included banks, insurance companies, mutual funds, pension funds, investment managers, and brokerage firms, and can be characterized as sophisticated, informed members of the investment community. (See Exhibit C)
- Thus, based on the reported trading volume in relation to its shares 21. outstanding, and the wide following by institutional investors, it is clear that Allegiance stock was publicly available and regularly traded throughout the Class Period.

<sup>&</sup>lt;sup>1</sup> Shares Outstanding as of 3/31/01, 12/31/99, 12/31/00, and 12/31/01 respectively

#### (B) Information Regarding Allegiance and its Stock Was Widely Available to the **Investment Community**

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- The high, low and closing prices for transactions in Allegiance stock on 22. the NASDAQ NMS were reported in the daily financial newspapers, including the Wall Street Journal, the New York Times, and others. Additionally, price and share volume information was disseminated throughout the investment community through electronic systems such as Bloomberg, and over the internet. Accordingly, current information concerning transactional prices for the sale and purchase of Allegiance stock was readily available to the market and to investors.
- Allegiance itself took affirmative steps to inform the investing public 23. about its activities. During the Class Period, Allegiance issued more than 20 press releases, reporting various activities of the Company including its reports of sales and earnings. These press releases were made available to the investing public through newspapers, computerized newswires and databases. It also conducted a number of analyst and investor conference calls, many of which were available through electronic systems such as Bloomberg.
- In addition, information concerning Allegiance was available to the 24. market through reports by a number of analysts who followed its stock, and the stocks of other telecommunications companies. During the Class Period, Allegiance was followed and reported on by analysts from major brokerage firms including, but not limited to, Bear Stearns, J.P. Morgan, Credit Suisse First Boston, Wachovia Securities, Kaufman Brothers, and U.S. Bancorp Piper Jaffray. Analysts employed by these and other firms published numerous reports about Allegiance during the Class Period, closely followed

Allegiance's reported line counts, provided estimates of future earnings and issued recommendations on its stock.

- 25. These analyst reports were available to the public and the investment community through their brokers as well as various computerized databases including Bloomberg, Nelson's, Investext, Multex, First Call, Dow Jones and the Lexis/Nexis database. As an example, I have attached a list of analyst reports regarding Allegiance issued just prior to, during, and just following the Class Period, and currently archived by First Call and/or Investext (Exhibit D).
- 26. In addition to these sources of information, Allegiance, like all companies with securities listed on the NASDAQ NMS, was required to make filings with the SEC. Filings made by Allegiance provided important information to the market, including its financial statements, business conditions affecting the Company, acquisitions, and other matters affecting its stock price. I have attached as Exhibit E a listing of the publicly available SEC filings made by Allegiance just prior to, during, and just after the Class Period.
- 27. Through Allegiance's filings of SEC reports, the issuance of its annual reports and other reports to stockholders, the many financial analysts who followed the stock and issued reports during the relevant period, and through the Company's own distribution of press releases and information concerning its business, there was a steady and readily obtainable flow of information concerning the Company that was made available to the investment community and to the market.

(C) During the Class Period There Were 50 Active Market Makers in Allegiance Stock

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- 28. During the Class Period, Allegiance common stock was traded on the NASDAO, which in 2001 listed the issues of more than 4,109 companies and reported average daily trading volume of nearly 2 billion shares. The securities listed on NASDAQ have a number of competing securities firms that make a market in their stock. These market makers compete with one another by buying and selling for their own accounts over NASDAQ's electronic trading network. The NASDAQ electronic trading system, with its competing market makers, enables efficient, instantaneous transactions in the shares listed and traded on the exchange.
- 29. Over the time period in question, NASDAQ had approximately 500 active market makers, with 16 market makers, on average, for each security. Based on reports I requested from NASDAQ encompassing the same period of time, there were approximately 50 active market makers in Allegiance stock. I have attached these reports as Exhibit F, which demonstrates to me an above-average level of market participants competing in the stock. Some of the firms making a market in Allegiance stock were Bear Stearns, Merrill Lynch, Lehman Brothers, and Credit Suisse First Boston.

#### (D) Allegiance Filed Form S-3 When It Publicly Offered Securities

Another indicator of sufficient information about a company being 30. available to the market is the company's ability to file Form S-3 when it does a public offering of its securities. Form S-1 is the basic registration statement that is used by companies for which no other form is authorized. Form S-1 requires the most complete documentation attached to the form. Registrants that qualify to use Form S-3 have, among other things, satisfied disclosure and dissemination of information requirements prior to the filing of the registration statement in question. If the company meets the

requirements to file Form S-3, the registration forms filed by the company may incorporate certain information by reference rather than by attachment. Information that may be incorporated by reference includes: the company's Form 10-K and all other reports filed pursuant to Section 13 (a) OR 15 (d) of the Exchange Act which contain financial statements for the registrant's latest fiscal year, and all other reports filed pursuant to those sections referenced above that have been filed since the end of the fiscal year covered by the Form 10-K referenced above.

- 31. In January 2000, approximately one year prior to the beginning of the Class Period, Allegiance was authorized to and filed a Form S-3 when it registered a secondary stock offering.
  - (E) <u>Empirical Facts Show a Cause and Effect Relationship Between Unexpected</u> <u>Corporate Events and Immediate Response in Allegiance Stock Price</u>
- 32. The most telling indication of market efficiency is whether the stock price quickly responds to new, relevant information. In 1969, Fama, Fisher, Jensen and Roll pioneered the use of "event studies" in their paper regarding the adjustment of stock prices to announcements of stock splits<sup>3</sup>. Event studies involve the examination of stock price behavior following announcements of relevant events. The subject stock price return is typically compared to a "normal" or "expected" return, which might be the defined function of a regression equation, or simply the return of a market index or peer company basket of stocks. Regarding event studies, and the use of daily stock price data, Fama concluded, "When the announcement of an event can be dated to the day, daily

<sup>&</sup>lt;sup>3</sup> Fama, Eugene F., Fisher, Lawrence, Jensen, Michael C., and Roll, Richard, "The Adjustment of Stock Prices to New Information," *International Economic Review*, Vol. 10, No. 1, February 1969.

data allow precise measurement of the speed of the stock-price response – the central issue for market efficiency."4

- 33. In order to perform a regression analysis I needed to select an appropriate benchmark for the market in general as well as the telecommunications industry. I selected the NASDAQ Composite Index ("NCI"), to reflect daily price changes in the market in general. I considered a number of different industry index components, including the companies identified in Allegiance's 2001 Proxy and a number of the peer companies mentioned in various analyst research reports about Allegiance. I observed that many of those companies were members of the Bloomberg Telecommunication Services Index ("BTSI"). The BTSI is a broad telecommunications index that contemporaneously included approximately 40 companies. I compared Allegiance's daily stock price returns against those of the NCI and BTSI. The regression results allowed me to conclude there was a statistically significant relationship between Allegiance and the two indices. It also allowed me to conclude that my market model was a reasonable indicator of the returns for Allegiance. Using the regression equation, I calculated the predicted daily returns to Allegiance stock during the Class Period. When the "abnormal" return, that is the actual return minus the predicted return, was statistically significant, either positive or negative, I was able to conclude an event may have occurred that day, which impacted Allegiance's stock price independent of the industry Index. The regression equation is attached as Exhibit G.
- 34. Strong indicators of Company-specific events, and of the efficiency of the market in absorbing such information, occurred on numerous occasions throughout the

<sup>&</sup>lt;sup>4</sup> Fama, Eugene F., "Efficient Capital Markets: II," The Journal of Finance, Vol. XLVI, No. 5, December 1991.

Class Period. For demonstrative purposes, I will discuss several such indicators, illustrating the market price response to announcements of new, relevant information concerning Allegiance.

- arnings for the 2nd quarter ended June 30, 2001. Allegiance posted revenues of \$124.1 million, up 97% versus the prior year and a loss of \$0.92 per share, \$0.03 better than analysts' consensus estimate of a \$0.95 loss. The Company reported new line installs of 135,800, new orders of 192,000 lines and total lines in service of 869,700. The following trading day, July 25, 2001, Allegiance's stock price rose 20% from \$10.90 to \$13.08 per share. In comparison, the BTSI rose 3.1% and the NCI rose 1.3%. Allegiance's abnormal return was 15.9% and statistically significant at the 95% level. Reported trading volume on the 25<sup>th</sup> exceeded 2 million shares. more than twice the average daily volume over the previous month.
- 36. On October 23, 2001 after the close of trading, Allegiance announced earnings for the 3<sup>rd</sup> quarter ended September 30, 2001. Allegiance posted revenues of \$135 million, up 69% versus the prior year and a loss of \$0.94 per share, \$0.03 better than analysts' consensus estimate of a \$0.97 per share loss and in line with the Company's pre-announced results. Allegiance also reported the installation of its one millionth line during the quarter. Mr. Holland reported as follows in the Company press release:

<sup>&</sup>lt;sup>5</sup> Average estimate of analysts surveyed by First Call/Thomson Financial.

<sup>&</sup>lt;sup>6</sup> When a series of data in a meaningfully large sample are normally distributed, 95% of the data points will fall within 2 standard deviations of the mean. Data falling outside of the 95% confidence interval are considered outliers, worthy of further analysis, and, in this case, indicate significant events.

While the tragic events of September 11 had a one time impact on September and October growth, Allegiance Telecom met or exceeded all metrics we set forth in our pre-release issued on September 26<sup>th</sup>. Significant growth in revenues, installations and adjusted EBITDA were achieved during a difficult third quarter for the U.S. economy...

The next trading day, October 24, 2001, Allegiance's stock price rose 29.4% from \$5.21 to \$6.74 per share. In comparison, the BTSI fell -0.02% and the NCI rose 1.6%. Allegiance's abnormal return was 27.9% and statistically significant at the 95%+ level. Reported trading volume on the 24<sup>th</sup> was close to 3 million shares. Shortly after the earnings release, analysts from several firms reiterated "buy" or "accumulate" recommendations on the stock.

37. On February 19, 2002, after the market close, Allegiance reported results for the 4<sup>th</sup> quarter and fiscal year ended December 31, 2001. Allegiance lost \$1.09 per share for the 4<sup>th</sup> quarter, as compared to analysts' consensus estimate of a loss of \$0.97 per share. In addition, Allegiance announced its subscriber base, measured by line count, was lower than previously reported, and revised its line count downward, after having completed a review of its order management system, which was the basis for tracking line count. The announcement of this information caused a 28.4% decline in the price of Allegiance stock on February 20, 2002, from \$3.70 per share to \$2.65 per share. The reported trading volume that day exceeded 9 million shares. A Bloomberg News article described the decline:

Allegiance Telecom Inc. shares fell 28 percent after the seller of telephone and Internet service to businesses said it doesn't operate as many phone lines as previously reported.

..."It doesn't give people a good feeling about the predictability of their numbers," said James Ott, a telecommunications analyst at Hibernia Southcoast Capital Inc.

...Allegiance yesterday reported a wider-than-expected fourth-quarter loss of \$125.6 million, or \$1.09 a share...(Bloomberg News, 2/20/02, 16:12)

In comparison, the BTSI rose 2.4% that day, the NCI rose 1.4% and the abnormal return for Allegiance stock was negative 32%.

- 38. The above examples are indicative of the responsiveness of Allegiance stock to the dissemination of new, relevant information affecting the Company. These selected events and price changes are illustrated in Exhibit H.
- 39. I also performed a serial correlation test, which is a statistical test used to detect residual correlation in time series data. Time series data is that which is observed at regular time intervals such as the Consumer Price Index, which is measured monthly, or daily security price returns. Regression analyses with time series data has the potential to suffer from serial correlation which means the value of a time series at time (t) bears some relationship to its value at time (t-1). In the context of stock price returns, if tomorrow's stock price return is highly dependent upon its return today, then the market for that stock cannot be presumed to be efficient. Remember, market efficiency rests on the responsiveness of a security price to new information.
- 40. I performed a regression analysis of the daily price returns for Allegiance and the one-day lag returns and found there to be very little correlation (i.e. less than 1%). I also analyzed the residuals produced by this regression equation to determine whether there was any serial correlation. The commonly used statistic for such a determination is known as the Durbin-Watson statistic. A value near zero means the residuals are highly positively correlated; a value near 4 indicates residuals are highly negatively correlated; and a value near 2 indicates the residuals are uncorrelated. In this

case, an analysis of the residuals over the period January 1999 through April 2002 produced a Durbin-Watson of 2.02 which indicated to me there was essentially no serial correlation and thus Allegiance stock traded in an efficient manner. I have attached as Exhibit I a scatter plot of the daily returns (t) and the one-day lag returns (t-1) which illustrates there is no discernable patter or relationship between the two.

#### VI. Conclusion

It is my opinion that the facts described above are more than sufficient to 41. establish that Allegiance traded in an efficient market. The evidence that I have examined satisfies each of the indicia of efficiency as outlined in Cammer v. Bloom and demonstrates Allegiance shares were widely traded in an open, established, welldeveloped and impersonal market. Moreover, the event studies that I performed indicate Allegiance stock price quickly responded to new information about the Company. I have seen no evidence to contradict the fact that Allegiance stock traded in an efficient market during the Class Period.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed this 2/5/day of September, 2004 at Princeton, New Jersey.



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#### **CANDACE L. PRESTON**

Present	Financial Markets Analysis, LLC. Founding member of the firm. Financial consultant specializing in securities and business valuations in mergers, acquisitions, appraisals, business planning, brokerage/customer arbitrations, and litigation. Significant testimonial experience in breach of contract, bankruptcy, anti-trust, securities and consumer class actions. Clients include private and public companies, individual and institutional investors, the S.E.C. and law firms.
1998 – 2001	The Bank of New York, BNY Capital Markets. Managing Director responsible for valuations and special financial services. Valuations relating to fairness opinions, mergers, acquisitions, executive compensation, estate and intergenerational transfers, and litigation.
1998	Triumph Partners, LLC. Founding member of the firm. Financial consultant specializing in securities and business valuations in mergers, acquisitions, appraisals, business planning, brokerage/customer arbitrations, and litigation. Valuation expert for the S.E.C. in its prosecution of Crazy Eddie, Inc. and Eddie Antar, and in efforts to recover monies from the Antar family.
1985 - 1998	Princeton Venture Research, Inc. Executive Vice President. Princeton Venture Research is an investment banking and consulting firm. Managed Princeton and San Diego offices. Supervised all analytic and research staff. Responsible for valuations in mergers and acquisitions, due diligence investigations, and litigation projects. Clients included Securities Investors Protection Corporation (SIPC), major financial institutions and law firms. Valuation expert for damages claims against Drexel Burnham Lambert, SubClass B.
1980 - 1985	NewMarkets. Senior consultant for management consulting firm, specializing in marketing and business planning for new ventures and turn-around situations. Developed plans for Fortune 500 companies as well as smaller businesses, which resulted in the creation and funding of new divisions and enterprises.
1974 - 1978	Family-owned businesses. Participated in the operation and management of a diverse group of businesses, including acquisitions and divestitures of numerous stand-alone operations. Among the businesses were an automotive plastics manufacturer, restaurants, automobile dealerships and real estate holdings.
1970 - 1973	U.S. Army, Tank and Automotive Command (TACOM). Civilian employee of branch responsible for negotiation and administration of contracts for wheeled and track vehicles. Negotiated and audited

#### **EDUCATION**

1985 M.B.A. University of Pennsylvania, Wharton School of Finance

1970 B.A. History, Eastern Michigan University

#### PROFESSIONAL DESIGNATIONS AND AFFILIATIONS

Chartered Financial Analyst (CFA)

Member, CFA Institute

Member, New York Society of Security Analysts (NYSSA)

contracts for tank prototypes as well as tanks in production.

OTHER University of Chicago American Bar Association Annual Meetings,

Guest lecturer in finance Securities Litigation Panels

University of Pennsylvania National Association of Public Pension Attorneys
Guest lecturer in finance Securities Litigation Panel



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#### In Re: Frontier Insurance Group, Inc.

Civil Action No. 94 Civ. 5213 (EHN)

U.S. District Court, Eastern District of New York

Deposition: November 9, 1998 Hearing Testimony: July 27, 2001

#### In Re: Sunglass Hut International, Inc.

Case No. 97-0191-CIV-MOORE/O'SULLIVAN

U.S. District Court, Southern District of Florida

Deposition: March 28, 2001

#### In Re: Real Estate Associates Limited Partnership Litigation

Case No. CV 98-7035 DDP (AJW)

U.S. District Court, Central District of California

Deposition: May 16, 2001; Trial October 24, 2002

#### In Re: Morgens, Waterfall, Vintiadis & Co., Inc. v. Donaldson Lufkin & Jenrette Securities

Corp.

Case No. 00 Civ.9517 (MP)

U. S. District Court, Southern District of New York

Deposition: October 10, 2001

#### In Re: Jennifer Convertibles Securities Litigation

Master File No. CV-94-5570 (DRH)

U.S. District Court, Southern District of New York

Deposition: July 22, 2002

#### Dennis Johnson v. Garden Ridge Corporation, et al

Civil Action No. 17651NC

Court of Chancery of the State of Delaware

New Castle County

Deposition: July 29, 2002

#### In Re: Alliance Pharmaceutical Corp. Securities Litigation

01-CV-1674 (CM) (MDF)

U.S. District Court, Southern District of New York

Deposition: August 2, 2002

#### In Re: One Stop Realtour Place v. Allegiance Telecom, Inc.

U.S. Bankruptcy Court, Eastern District of Pennsylvania

Trial: September 19, 2002

#### In Re: SmarTalk Teleservices, Inc. Securities Litigation

U.S. District Court, Southern District of Ohio, Eastern District

Deposition: October 2 & 3, 2002

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#### Testimony of Candace L. Preston

In Re: Comer Finance, Ltd. and Prival N.V. v. Michael Berger, et al.

Index No.00 Civ. 2284 (DLC)

U.S. District Court, Southern District of New York

Deposition: December 20, 2002

Martin I. Cohen v. Berkshire Hathaway, Inc, et al (MidAmerican Energy Holdings, Inc.)

Iowa District Court for Polk County

CL 81833

Deposition: March 31, 2003

In re: Laidlaw Stockholder Litigation

C.A.:3:00-CV-855-17

U.S. District Court, District of South Carolina, Columbia Division

Deposition, September 29, 2003



60,890

50,628

254,459

15,965

17,113

,783,502

1,743,659

11,150 6,550

32,218

11,150

180,460

1,153

764,253

293,855

941,200

174,999 400,890

159,530

213,303 997,306

295,150

295,150

515,675

578,126

31-Mar-02

19-Feb-02

	lnc.
	Telecomm,
Exhibit C	Allegiance

631,325 ,817,443 259,110 15,804 334,185 471,220 295,150 ,045,100 11,150 54,084 18,090 41,887 10,150 31-Dec-01 1,414,301 544,175 475,510 11,250 68,056 363,930 32,990 ,541,465 37,972 295,150 249,810 383,900 41,204 30-Sep-01 ,089,026 645,970 32,390 492,050 18,333 210,000 295,150 249,810 36,600 195,000 8,250 14,804 1,499,378 884,110 30-Jun-01 496,405 37,520 45,500 31,720 21,059 291,756 189,900 74,540 537,474 176,070 474,427 17,554 7,714 592,834 700,226 336,087 242,601 74,688 8,141 420,144 24-Apr-01 26,200 7,450 802,200 708,100 104,183 67,911 31,390 ,381,118 498,550 56,000 ,045,113 356,250 62,000 18,985 22,402 239,050 31-Mar-01 566,663 180,000 Share Ownership by Reporting Institutions Charles Schwab Investment Mgmt Buckingham Capital Management Allianz Dresdner Asset Mgmt Am Saring Asset Management, Inc. American General Corporation American Cent Invt Mgmt, Inc. Bear, Stearns Asset Mgmt Inc. Candlewood Cap Mgmt, L.L.C. California Public Emp Ret Sys Berkeley Capital Management Sank Of America Corporation Aeltus Investment Mgmt, Inc. American Exp Financial Advr California State Teach Retire Aim Management Group, Inc. Aaron Fleck & Assoc, L.L.C. Source: Thomson Financial Aic Asset Management, Llc Sapital City Trust Company A. G. Edwards & Sons, Inc. Angelo, Gordon & Co., L.P. **Chartwell Investment Ptnr** Bank Ny Trust Co FI, N.A. Caxton Associates, L.L.C. Afa Management Ptnr Lp Bear, Stearns & Co. Inc. Charlotte Capital, L.L.C. **Bny Asset Management** American Intl Group Inc **Bank One Corporation** Carlson Capital, L.P. **Barclays Bank Plc** Axa Financial, Inc. Amvescap Plc Blackrock Inc Berger Llc Cfbd I, Llc

### **Exhibit** C

Allegiance Telecomm, Inc. Share Ownership by Reporting Institutions Source: Thomson Financial

	31-Mar-01	24-Apr-01	30-Jun-01	30-Sep-01	31-Dec-01	19-Feb-02	31-Mar-02	
Chilton Investment Co., Inc.	4 over 1 to over the state of the state over the st	Production to 5 to	or 35 come by amonde on 5 back 5 to 1913 HILL CHING	S 2 T VII Venue I I recommende de la Communicación de la Communica	2,017,712	2,017,661	2,017,602	
Cibc World Markets Corp.	2,332	3,701	6,480	2,100	2,305	2,211	2,100	
Citadel Investment Mgmt L.P.	28,000	60,145	64,500	140,519	159,404	151,567	142,367	
Citigroup Inc	138,888	681,584	1,783,422	1,106,100	100,647	97,812	94,483	
City Capital Inc	•	ı		r	•	7,047	15,320	
Coldstream Capital Mgmt, Inc.	ı	1	1	•	•	4,731	10,285	
College Retire Equities	802,782	759,709	672,258	588,158	544,690	552,556	561,790	
Colonial Mgmt Assoc, Inc.	1	1	•	•	•	•	1	
Comerica Inc	•	•	ı	ı	ı	ı		
Commerce Bancshares Inc	8,200	5,626	400	006	ı		•	
Conning Asset Management Co	ř	•	1	ı	ı	22,540	49,000	
Credit Suisse Asset Mgmt LIc(U	625	625	625	625	1	•	1	
Credit Suisse First Boston Cor	14,651	9,816	ı	607,708	17,155	16,288	15,270	
David L. Babson & Co, Inc.	009	009	009	300	300	300	300	
Deltec Asset Management Lic	•	ı	1	1	1	1,150	2,500	
Deutsche Bk Aktiengesellschaft	185,285	195,580	216,482	371,850	276,387	229,162	173,725	
Deutsche Inv Mgmt Americas Inc	113	641	1,713	2,769	14,400	7,776	•	
Dimensional Fd Advisors, Inc.	29,800	30,064	30,600	53,610	1,222,300	1,650,330	2,152,800	
Druker, Neil	ı	11,550	35,000	•	•	Ī	,	
Edgemont Asset Management Corp	1		ı	ı	1	,	•	
Essex Investment Mgmt Co., LIc	551,270	374,664	16,100	16,100	ı	1	ı	
F. W. Thompson Co. Limited	ı	•	•		1		•	
Federated Investors, Inc.	302,000	217,190	45,000	55,600	•	920,000	2,000,000	
Fidelity Intl Ltd	•	ı	•	ı	ı	•	ı	
Fidelity Mgmt & Research Co	639,350	467,387	118,250	525,000	•	1	•	
Fiduciary Asset Management Co.	20,000	13,400	•	•	•	•	1	
Fiduciary Trust Company Intl	•	•	1	•	•	1	•	
First Inv Management Co, Inc.	194,700	151,899	65,000	1		1	ı	
Firsthand Capital Mgmt Inc.		1	•		89,500	90,041	90,675	
Fleet Boston Corporation	8,667	9,132	10,075	13,942		•	•	
Florida State Board Of Admin.	196,487	198,962	203,987	215,787	259,287	263,795	269,087	0
Found Advisers, Inc.	ı	•	1	1		2,576	2,600	0
Frank Russell Company	1,526	4,503	10,548	5,999	424,135	392,400	355,145	0
Franklin Resources Inc	340,700	591,236	1,099,900	501,100	1,541,900	1,053,794	480,800	0 :
Freeman Assoc Inv Mananagement	4	•	•	22,000	ı	•	•	27
Gabelli Asset Management Co	ı	ı	•	21,000	21,000	21,000	21,000	ï,

**Exhibit** C

Allegiance Telecomm, Inc. Share Ownership by Reporting Institutions Source: Thomson Financial

		24-Apr-01	30-Jun-01	30-Sep-01	31-Dec-01	19-Feb-02	31-Mar-02	
Gabelli Funds Llc	21,000	21,000	21,000		ı			
Galleon Management L.P.	•	ı	•		265,000	143,100	1	
Geewax, Terker & Company	•	•	ı	1	1	•	•	
General Electric Company		ı	•	•	ı	ı	•	
General Motors Asset Mgmt	52,500	52,500	52,500	52,500	77,100	74,616	71,700	
Gilder, Gagnon, Howe & Co.		•	•	ı		1	1	
Glor Wright & Wade, Inc.	029	436	1	ı	1	•	•	
Goldman Sachs & Company	34,075	59,676	111,654	147,256	319,990	206,608	73,508	
Great-West Life & Annty Ins Co	127,800	130,935	137,300	171,200	74,200	009'69	64,200	
Griffin Asset Management, Llc	39	26	ı	ı	ı		•	
Gruber & Mcbaine Capital Mgmt		1	•	•	125,000	204,580	298,000	
Guardian Investor Serv Corp	842,200	564,274	i	•	•	•	•	
H. G. Wellington & Co.	•	•	Ī	•	1	1		
Helix Investment Ptnr Lp	•	•	1	ſ	71,200	94,200	121,200	
Hellman, Jordan Mgmt Co, Inc.	•	ı	ı	1	200,600	474,924	210,000	
Husic Capital Management	1,080,578	723,987	ı	•	Ī	ı	ı	
Ibm Retirement Funds	79,978	74,848	64,432	66,018	64,917	64,917	64,917	
Ing investments, Lic	•	•	1	1		•	•	
Invesco Asset Mgmt Limited	•	ı	ľ	í	544,175	338,360	96,750	
Invesco Asset Mgmt Limited	•	ı	•	540,075	•	•	ı	
Invesco Capital Mgmt Inc.	107,450	385,054	948,675	517,125	483,425	279,542	40,200	
Invesco Funds Group	6,622,740	7,342,932	8,805,140	10,273,440	10,297,065	9,490,363	8,543,365	
J. & W. Seligman & Co., Inc.	53,615	35,922	•	ı	ı	ı	•	
Jacobs Levy Equity Mgmt, Inc.	1	ı	•	•	154,900	83,646	•	
Janus Capital Corporation	•	ı	•	1	1	ı	ı	
Jbt, Inc.	255,400	171,118	•	1	•	•	•	
Jennison Associates Llc	•	•	,	1	•	•	•	
John Hancock Finl Serv, Inc.	320,250	214,568	1	1	ı	r	1	
Jp Morgan Chase & Co	1	6,493	19,675	698,248	2,823,904	3,269,295	3,792,145	
Kicap Management, L.P.	•	•	1	•	1,089,500	588,330	1	
Kleinheinz Cap Partners, Inc.	•	ı	•	•	330,000	343,800	360,000	4
Kmf Partners, L. P.	100,000	62,000		ı	ı	ı	P	1
Lee Munder Investments Ltd/Fla	ı	158,994	481,800	,	ı	ı	,	1 [
Legg Mason Inc	2,541	1,702	1	7,100	12,700	15,000	17,700	0
Lehman Brothers	30,140	20,194	1	1	•	8,992	1-10	6)
Loomis, Sayles & Company, L.P.	45,150	30,911	2,000	2,000	1	ı	•	c

## Allegiance Telecomm, Inc. Share Ownership by Reporting Institutions Source: Thomson Financial **Exhibit C**

	31-Mar-01	24-Apr-01	30-Jun-01	30-Sep-01	31-Dec-01	19-Feb-02	31-Mar-02	
Lotsoff Capital Management	006	006	006	1	•	1,058	2,300	
Luther King Capital Management	13,975	30,813	65,000	ı	•	•	•	
Manufacturers Adviser Cp	469	469	469	469	469	469	469	
Manufacturers Life Insur Co.	22,375	22,375	22,375	•	•	•	•	
Marshall & Ilsley Corp	•	•		•	•	1	•	
Masters Capital Mgmt Inc.	250,000	167,500	1	ı		ļ	•	
Matador Capital Mgmt Corp.		19,800	60,000	ı	ı	ı	ı	
Mcdonald Investments, Inc.	48,363	44,448	36,500	39,000	76,902	90,233	105,883	
Mellon Bank Na	291,245	289,741	286,687	438,032	340,806	445,888	569,245	
Merrill Lynch & Co Inc	51,264	39,178	14,640	36,021	11,087	11,265	11,473	
Merrill Lynch Inv Managers(Nj)	119,850	120,807	122,750	202,450	202,450	109,323	1	
Mfs Investment Management	10,876,496	10,977,390	11,182,234	1,160,786	26,258	26,258	26,258	
Mid-Continent Capital, L.L.C.	t	ı	•	ı	ı	•	ı	
Millennium Partners, Lp	5,200	3,484	•	1	•	1	•	
Monetta Finl Services Inc.	10,000	10,000	10,000	1	•	ı	•	
Montgomery Asset Mgmt, Llc	•	74,679	226,300	327,000	381,200	373,242	363,900	
Morgens Waterfall Vintiadis&Co	000'06	60,300	•	1	ı	1	1	
Msdw & Company	13,780,373	13,713,533	13,577,829	13,568,555	13,559,060	13,557,516	13,555,704	
Munder Capital Management	1	ı	,	•	38,568	38,016	37,368	
Murphy Capital Mgmt, Inc.	1	•	•	•	1	•	•	
N.Y. State Teach Retire Sys	•	•	•	373,850	373,850	373,850	373,850	
Neuberger Berman, Llc	•	ı	•	ı	153,540	409,111	709,130	
New York Life Insurance Co	559,766	559,766	559,766	559,766	559,766	302,274	1	
New York Life Invt Mgmt Llc	ı	184,723	559,766	•	1	1	•	
New York State Common Ret Fd	•	ı	•	166,000	•	1	•	
Next Century Gr Investors, LIc	1	•	ı	1	2,043,445	2,262,318	2,519,255	
Nicholas-Applegate Cap Mgmt	642,677	641,575	639,337	1	1	•	•	
Nomura Asset Mgmt Co., Ltd.	2,900	2,603	2,000	4,000	•	•	•	
Nomura Securities Co., Ltd.		1	•	2,400	1	•	•	
Northern Trust Company	129,096	131,283	135,724	130,895	150,378	149,614	148,717	
Northern Trust Company Of Ct	5,800	3,886	•	37,900	•	1	•	
Northwestern Mutual Invt	•	•	•	•	•	1	1	0 (
Oak Value Capital Mgmt, Inc.	48,150	64,650	98,150	98,550	•	•	•	0 (
Ohio Public Emp Retirement Sys	22,000	21,471	20,397	136,061	170,922	178,212	186,770	0 (
Oppenheimerfunds Inc.	223,000	217,753	207,100	270,000	371,000	367,550	363,500	2
Optimum Investment Advr	1	ı	ı	ı	1	59,019	128,303	9

utions	
e Ownership by Reporting Institut	ce: Thomson Financial
Share (	Sour

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31-Mar-02	1	10	430	ı	•	1	18,407	1	29,460	ı	1	1	•	1	ı	605	•	İ	i	•	Í	Ī	30,889	375	15,000	ı	•	5,917,400	Ī	59,550	1,403,975	•	1	1	1	14,000
19-Feb-02	16,994	5	203	141,075	•	1	18,407	ı	24,909	1	11,556	•	•	•	•					5,562		1	29,049	173	006'9	26,352	•	4,271,048	1	682,926	841,646	143,640	24,192	1	188,009	14,000
31-Dec-01	31,470		10	261,250	•	ı	18,407	,	21,032	•	21,400	•	•	1	•	1	ľ	•		10,300	•	•	27,481	•	•	48,800						266,000		•	348,165	14,000
30-Sep-01	41,200		ı	400,000	Ī	1	18,407	r	48,070	1	ı		ī	1	ı	1	54,000	1	,	18,900	1		37,114	•	1	951,225	•	3,643,900	•	977,150	372,125	75,000	•	1,098,800	•	10,000
30-Jun-01		1	ı	400,000	•	•	19,907	•	22,297	ı	ı	ı	•	•	1	1	11,000	15,000	ı	45,800		3,602	48,495	•	ı	162,276	49,140	485,200	20,000	976,850	563,275	•	•	•	348,430	8,000
24-Apr-01	•	•	•	500,500	ı	•	19,907		7,358			74,236	ı	838,840	ı	ı	21,050	4,950	ı	52,196	ı	2,920,884	46,887	1	•	148,822	1,754,506	166,816	009'9	974,371	318,926	67,000	•	ı	114,982	6,459
31-Mar-01	ON IPPROVINGENING ONE CASE OF THE PARTY OF THE AMERICA	•	•	250,000	•	•	19,907	•	1	1	ı	110,800		1,252,000	ı	•	26,000		•	55,347	1	4,357,754	46,095	1	ı	142,195	2,594,463	10,000	ı	973,150	198,575	100,000	•	•	•	5,700
	Oscar Capital Management, Llc	Padco Advr Ii, Inc	Padco Advr Inc	Palantir Capital Management	Palo Alto Investors	Paloma Ptnr Management Company	Panagora Asset Mgmt Inc. (Us)	Paradigm Asset Mgmt Co, Llc	Parametric Portfolio Assoc	Pax World Management Corp	Payden & Rygel Invt Counsel	Pennsylvania Public Sch Emp Re	Pequot Capital Management Inc.	Peregrine Capital Mgmt, Inc.	Pilgrim Baxter & Assoc Ltd.	Pnc Finl Services Group Inc	Porter, Felleman Inc.	Ppm America Inc.	Principal Financial Group Inc	Prudential Insur Co Of America	Public Emp Retirement Assn Co	Putnam Investment Management	Qwest Asset Management Company	Rbc Capital Markets	Reich & Tang Asset Mgmt L.P.	Renaissance Technologies Corp.	Roger Engemann & Assoc Inc.	Royce & Associates, Llc	Rs Growth Group Llc	Rs Investment Management, Inc.	Rs Investments	S.A.C. Capital Mgmt, L.P.	Sagamore Hill Cap Managament L	Schneider Capital Mgmt, Lp	Schroder Inv Mgmt Group	Seb Asset Mgmt America Inc.

# Allegiance Telecomm, Inc. Share Ownership by Reporting Institutions Source: Thomson Financial

	31-Mar-01	24-Apr-01	30-Jun-01	30-Sep-01	31-Dec-01	19-Feb-02	31-Mar-02	
Security Management Co, Llc	3,100	3,595	4,600	4,600	1,000	540		
Seminole Management Co., Inc.	45,394	45,394	45,394	•	•	ı	1	
Sg Cowen Securities Corp	1		ı	ı	ı	•		
Shoreline Investment Mgmt	230,000	154,100	r	Ī	1	ı		
Sit Investment Assoc, Inc.	1		1	ī	1	1		
Snyder, Jennifer C	•	1,485	4,500	ı	•	ı	ı	
Ssi Investment Management Inc.	2,990	2,003	•		138,200	74,628	ı	
Standard Pacific Capital Llc	445,391	601,750	919,206	932,980	•	ı	1	
Standish Mellon Asset Mgmt Co		3,696	11,200	18,300	ı	•	•	
State Of Mich State Treasurer	•	ı	•		ı	•	•	
State Of Wi Investment Board	•	•		ı	ı	•	•	
State Str Corporation	789,518	827,257	903,878	804,506	919,940	758,451	568,876	
State Teach Retirement Sys Oh	•	11,781	35,700	28,900	182,640	189,876	198,370	
Sterne Agee Asset Mgmt, Inc.	1	•	ı	ı	ı	5,001	10,872	
Stoneridge Investment Ptnr Llc	•	•	•	158,625	167,425	90,410	ı	
Straus Asset Mgmt, L.L.C.		•	•	•	•	80,500	175,000	
Strong Capital Mgmt, Inc.	1,320,300	1,361,187	1,444,200	1,529,300	1,775,580	1,800,576	1,829,920	
Symphony Asset Management Llc	ı	•	•	ı	•	•	•	
T. Rowe Price Associates, Inc.	6,593,100	7,188,552	8,397,500	7,762,000	932,700	503,658	ı	
Td Asset Management Inc.	251,900	283,679	348,200	165,300	ı	ı	ı	
Teacher Retirement Sys Of Tx	ı	•		ı	•	ı	•	
Teachers Advr Inc	23,508	21,276	16,743	16,443	17,043	17,135	17,243	
Trilogy Advisors, Llc	•	•		•	23,515	21,123	18,315	
Trinity Cap Jacksonville, Inc.	•		•	5,000	ı	•		
Twin Capital Management, Inc.	26,300	17,621	•	,	•	•	ı	
U S Bancorp	417,230	345,666	200,370	201,070	60,710	47,181	31,300	
Ubs Americas Inc	•	3,397	10,295	•	52,346	28,267		
Ubs O Connor, L.L.C.	•	•	1	•	82,200	44,388	1	
United States Trust Co Of Ny	3,995	4,932	6,833	3,295	2,100	8,310	15,600	
Usaa Investment Management Co	404,900	435,986	499,100	408,400	230,000	230,000	230,000	
Vanguard Group	458,302	466,228	482,319	431,437	473,280	478,355	484,312	U
Verizon Investment Mgmt Corp	20,800	18,820	14,800	17,200	17,600	17,594	17,587	U
Victory Sbsf Capital Mgmt	ı		ı	•	ı	4,600	10,000	U
Viewpoint Investment Ptnr	000'09	40,200	1	•	,	ı	ı	0
Virginia Retirement Sys	25,600	22,993	17,700	18,000	18,200	16,636	14,800	<b>.</b>
Wachovia Corporation	276,290	188,476	10,186	453,458	50,061	27,033	i	1

Filed 10/04/2004

Allegiance Telecomm, Inc. Share Ownership by Reporting Institutions Source: Thomson Financial

	31-Mar-01	24-Apr-01	30-Jun-01	30-Sep-01	31-Dec-01	19-Feb-02	31-Mar-02
Waddell & Reed Financial, Inc.	31,850	21,340	1 th 1 th according to the major 1 400000	No. 4 90C ( x meter commence collisi ex ( concess numbroscommon	WYWWWWWWW 13 3 30 XXX 30 XX 30 XX 10 XX 4 XX 4 XX 4 XX 4 XX 4 XX 4 XX	NO NO A NA AMARIA E EANA E BAT E DE MANTENERS	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Wall Str Associates	176,350	173,248	166,950	180,050	•	•	•
Weiss, Peck & Greer, L.L.C.			. •	. '	65,000	125,674	196,900
Welch & Forbes Llc	•	•	1	•	•	•	
Wellington Management Co, Llp	2,750,800	2,613,949	2,336,100	1,761,300	2,495,200	3,296,612	4,237,400
Wells Fargo & (Norwest Corp)	•	1	1	59,155	5,325	4,064	2,583
Wells Fargo Bank lowa, N.A.	1,500	1,005	•	•	•	ı	ī
Wells Fargo Bank Mn, N.A.	•		1	•	2,800	1,512	1
Wells Fargo Bank, N.A.	345,411	771,235	1,635,786	59,155	•	•	1
Wesbanco Bank Wheeling	12,000	12,990	15,000	15,000	30,000	36,900	45,000
West Highland Capital, Inc.	5,000,000	5,825,165	7,500,500	10,000,000	10,036,000	6,707,440	2,800,000
William Blair&Co L.L.C.Inv Mgm	•	137,056	415,320	44,500	21,200	16,048	10,000
Wilshire Assoc Inc	2,150	2,455	3,075	2,875	8,775	13,421	18,875
World Asset Management	56,593	54,599	50,551	38,068	ı	1	1
Total	76,926,694	76,802,944	76,551,693	71,804,735	72,982,038	69,171,720	64,698,737





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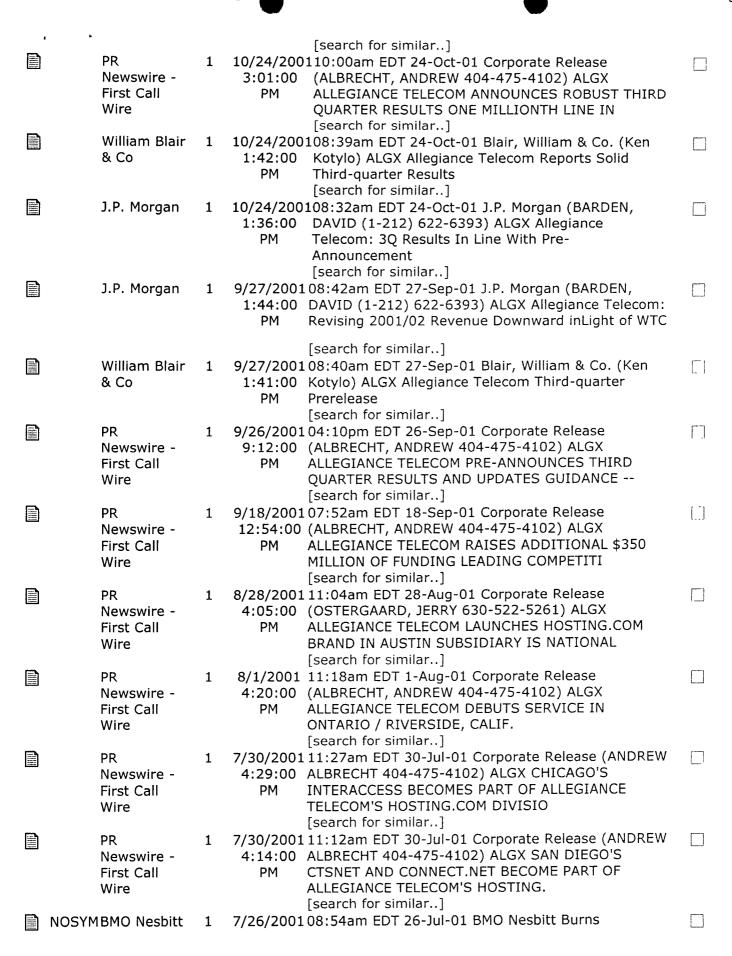
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THE STATE OF THE S	Ticker	Contributor PR Newswire - First Call Wire	Pages 1	7/3/2002	Headline  10:21am EDT 3-Jul-02 PR Newswire - First Call Wire (Allegiance Telecom, Inc.) Webcast Alert: Allegiance Telecom, Inc. Second Quarter Earnings Release [search for similar]	Clear
ACCOUNTS OF THE PARTY OF T		UBS (US)	1		06:07am EDT 3-Jul-02 UBS Warburg (US) (Waldorf, Glenn +1 212 713 3886) ALGX Allegiance Telecom: Lowering Rating to Sell with \$0.50 Price T (Part 1 of 2) [search for similar]	
		UBS (US)	1		06:07am EDT 3-Jul-02 UBS Warburg (US) (Waldorf, Glenn +1 212 713 3886) ALGX Allegiance Telecom: Lowering Rating to Sell with \$0.50 Price T (Part 2 of 2) [search for similar]	
Transport of the Control of the Cont		UBS (US)	1	6/19/2002 2:00:00 PM	108:58am EDT 19-Jun-02 UBS Warburg (US) (Waldorf, Glenn +1 212 713 3886) ALGX Allegiance Telecom Buys Two Blue Light Specials (Part 2 of 2) [search for similar]	
White and the second se		UBS (US)	1		108:58am EDT 19-Jun-02 UBS Warburg (US) (Waldorf, Glenn +1 212 713 3886) ALGX Allegiance Telecom Buys Two Blue Light Specials (Part 1 of 2)	

•	William Blair & Co	1	6/18/2002 04:56pt 9:58:00 Kotylo) PM Acquisit		
	PR Newswire - First Call Wire	1	6/18/2002 09:16ar 2:18:00 Wire (A PM Acquire Provisio	for similar] m EDT 18-Jun-02 PR Newswire - First Call llegiance Telecom, Inc.) Allegiance Telecom s WorldCom Customer Premise Equipment ning for similar]	
-	PR Newswire - First Call Wire	1	5/13/2002 02:10pr 7:11:00 Wire (Al PM U.S. Su	n EDT 13-May-02 PR Newswire - First Call legiance Telecom) ALGX Allegiance Applauds preme Court Decision On Verizon v. FCC for similar]	
	William Blair & Co	1	5/1/2002 09:26ar 2:27:00 Kotylo) PM quarter	n EDT 1-May-02 Blair, William & Co. (Ken ALGX ALGX.O Allegiance Telecom: First- Results: Reducing Rating to Hold for similar]	
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	UBS (US)	1	5/1/2002 08:37ar 1:39:00 Glenn + PM 'If I Had	n EDT 1-May-02 UBS Warburg (US) (Waldorf, 1 212 713 3886) ALGX Allegiance Telecom: Three Million Dollars' (Part 1 of 2) for similar]	
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	PR Newswire - First Call Wire	1	4/30/2002 04:07pn 9:09:00 Wire (Al PM Annound of 3)	n EDT 30-Apr-02 PR Newswire - First Call legiance Telecom ces Continued Growth in First Quarter Part(1	
	PR Newswire - First Call Wire	1	4/30/200207:50an 12:52:00 Wire (Al PM Inc. Invi	n EDT 30-Apr-02 PR Newswire - First Call legiance Telecom, Inc.) Allegiance Telecom, tes You to Join Its First Quarter Earnings for similar]	
	PR Newswire - First Call Wire	1	4/10/2002 02:45pn 7:47:00 Wire (Al PM Inc. Invi	n EDT 10-Apr-02 PR Newswire - First Call legiance Telecom, Inc.) Allegiance Telecom, tes You to Join Its First Quarter Earnings for similar]	
	PR Newswire - First Call Wire	1	3/6/2002 09:38an 2:36:00 (Allegian PM Complet Systems	n EST 6-Mar-02 PR Newswire - First Call Wire nce Telecom, Inc.) Allegiance Telecom es Electronic Link With Verizon Wholesale	
Adverse American Amer	PR	1		n EDT 28-Feb-02 PR Newswire - First Call	

٠	Newswire - First Call Wire		2:58:00 Wire (Allegiance Telecom) ALGX Tauzin-Dingell Bill PM Survives House Scare Through Parliamentary Chicanery [search for similar]	
	William Blair & Co	1	2/20/2002 08:51am EST 20-Feb-02 Blair, William & Co. (Ken 1:52:00 Kotylo) ALGX ALGX.O Allegiance Telecom Posts Solid PM Fourth-quarter and Year-end 2001 Results [search for similar]	
range rang range range range range range range range range range range rang range range range range range range range range range range range range range range range range rang rang rang rang range rang rang rang rang rang rang rang rang	UBS (US)	1	2/20/2002 06:13am EST 20-Feb-02 UBS Warburg (US) 11:15:00 (Waldorf, Glenn A. +1 212 713 3886) ALGX  AM Allegiance Telecom: In a Little While (Part 1 of 2) [search for similar]	
	UBS (US)	1	2/20/200206:13am EST 20-Feb-02 UBS Warburg (US) 11:15:00 (Waldorf, Glenn A. +1 212 713 3886) ALGX AM Allegiance Telecom: In a Little While (Part 2 of 2) [search for similar]	
	J.P. Morgan	1	2/20/200201:44am EST 20-Feb-02 J.P. Morgan (David W. 6:47:00 Barden, CFA (1-212) 622-6) ALGX Allegiance  AM Telecom, Inc: Positive 4Q Results; Maintaining 2  (Part 2 of 2)  [search for similar]	
	J.P. Morgan	1	2/20/200201:44am EST 20-Feb-02 J.P. Morgan (David W. 6:46:00 Barden, CFA (1-212) 622-6) ALGX Allegiance  AM Telecom, Inc: Positive 4Q Results; Maintaining 2  (Part 1 of 2)  [search for similar]	
	PR Newswire - First Call Wire	1	2/19/200205:16pm EST 19-Feb-02 PR Newswire - First Call 10:17:00 Wire (Allegiance Telecom, Inc.) Allegiance Telecom PM Announces Solid Fourth Quarter and Year-End Results(3 of 4) [search for similar]	
	PR Newswire - First Call Wire	1	2/19/200205:16pm EST 19-Feb-02 PR Newswire - First Call 10:17:00 Wire (Allegiance Telecom, Inc.) Allegiance Telecom PM Announces Solid Fourth Quarter and Year-End Results(4 of 4) [search for similar]	
	PR Newswire - First Call Wire	1	2/19/200205:16pm EST 19-Feb-02 PR Newswire - First Call 10:17:00 Wire (Allegiance Telecom, Inc.) Allegiance Telecom PM Announces Solid Fourth Quarter and Year-End Results(1 of 4) [search for similar]	[.]
	PR Newswire - First Call Wire	1	2/19/200205:16pm EST 19-Feb-02 PR Newswire - First Call 10:17:00 Wire (Allegiance Telecom, Inc.) Allegiance Telecom PM Announces Solid Fourth Quarter and Year-End Results(2 of 4) [search for similar]	
	PR Newswire - First Call Wire	1	2/8/2002 01:34pm EST 8-Feb-02 PR Newswire - First Call Wire 6:35:00 (Allegiance Telecom, Inc.) Morgan Stanley Files Form PM 144 Upon Foreclosure of Collateral for Loan [search for similar]	
	PR Newswire - First Call Wire	1	2/4/2002 10:34am EST 4-Feb-02 PR Newswire - First Call Wire 3:36:00 (Allegiance Telecom, Inc.) IP Aggregation From PM Allegiance Telecom Allows Service Providers To [search for similar]	
Towns of the second of the sec	PR	1	1/23/200202:51pm EST 23-Jan-02 PR Newswire - First Call	

Newswire -		7:53:00		
First Call Wire		PM	Allegiance Telecom Announces Its 2001 Fourth Quarter and [search for similar]	
PR Newswire - First Call Wire	1		208:07am EDT 10-Jan-02 Corporate Release (VINA Technologies, Inc.) VINA ALGX VINA Technologies and Allegiance Telecom Benefit From Expanding Market  [search for similar]	
J.P. Morgan	1		02:55pm EST 4-Jan-02 J.P. Morgan (BARDEN, DAVID (1-212) 622-6393) ALGX ALGX.O Allegiance Telecom: Intermedia Internet Purchase Brings Tier 1 Peering [search for similar]	
Calyon Securities (USA) Inc.	1	1:05:00 PM	08:02am EST 4-Jan-02 Credit Lyonnais Sec. (US) (Rick Grubbs (212) 261-7692) Allegiance Telecom: Acquisition of Intermedia Business Internet From WorldCom [search for similar]	
William Blair & Co	1	6:31:00 PM	01:30pm EST 3-Dec-01 Blair, William & Co. (Ken Kotylo) ALGX ALGX.O Allegiance Telecom Completes 36-market Build [search for similar]	
PR Newswire - First Call Wire	1	12/3/2001 10:12:00 AM	05:11am EST 3-Dec-01 Corporate Release (Albrecht, Andrew 404-475-4102) ALGX Allegiance Telecom's 36-Market Network Now Complete [search for similar]	
PR Newswire - First Call Wire	1	11/12/2001 2:58:00 PM	109:56am EST 12-Nov-01 Corporate Release (ALBRECHT, ANDREW 404-475-4102) ALGX ALLEGIANCE TELECOM PROVIDES CHOICE FOR PITTSBURGH BUSINESSES [search for similar]	Ĺ.)
J.P. Morgan	1	1:56:00 PM	08:54am EST 8-Nov-01 J.P. Morgan (BARDEN, DAVID (1-212) 622-6393) ALGX Allegiance Telecom: Millennium Conference Notes [search for similar]	
Calyon Securities (USA) Inc.	1	10/24/2001 5:59:00 PM	112:58pm EDT 24-Oct-01 Credit Lyonnais Sec. (US) (Rick Grubbs (212) 261-7692) Allegiance Telecom: Solid Performance in a Weak Quarter; 3Q01 Earnings Review [search for similar]	<u>[]</u>
PR Newswire - First Call Wire	1	10/24/2001 3:02:00 PM	10:00am EDT 24-Oct-01 Corporate Release (ALBRECHT, ANDREW 404-475-4102) ALGX ALLEGIANCE TELECOM ANNOUNCES ROBUST THIRD QUARTER RESULTS ONE MILLIONTH LINE IN [search for similar]	
PR Newswire - First Call Wire	1		L10:00am EDT 24-Oct-01 Corporate Release (ALBRECHT, ANDREW 404-475-4102) ALGX ALLEGIANCE TELECOM ANNOUNCES ROBUST THIRD QUARTER RESULTS ONE MILLIONTH LINE IN [search for similar]	
PR Newswire - First Call Wire	1		(ALBRECHT, ANDREW 404-475-4102) ALGX ALLEGIANCE TELECOM ANNOUNCES ROBUST THIRD QUARTER RESULTS ONE MILLIONTH LINE IN	



•	* Burns		1:55:00 PM	(Rhamey, Peter 416-359-6191) No Symbols AM: Telecom - XO Communications and Allegiance Telecom Reports Q2 - Part 2 [search for similar]	
Market Ma	NOSYMBMO Nesbitt Burns	1		L 08:54am EDT 26-Jul-01 BMO Nesbitt Burns (Rhamey, Peter 416-359-6191) No Symbols AM: Telecom - XO Communications and Allegiance Telecom Reports Q2 - Part 1 [search for similar]	
TOTAL	William Blair & Co	1		L02:32pm EDT 25-Jul-01 Blair, William & Co. (Kenneth Kotylo) ALGX Allegiance Telecom Reports Solid Second-quarter Results [search for similar]	
Vacanianos Vacanianos Assertos Assertos Assertos	Calyon Securities (USA) Inc.	1		11:10am EDT 25-Jul-01 Credit Lyonnais Sec. (US) (Rick Grubbs (212) 261-7692) Allegiance Telecom: 2Q01 Earnings Review [search for similar]	
	J.P. Morgan	1		. 08:09am EDT 25-Jul-01 J.P. Morgan (BARDEN, DAVID (1-212) 270-6611) ALGX Allegiance Telecom: 2Q Numbers In Line; Company Reiterates 2001 Targets [search for similar]	
囚	PR Newswire - First Call Wire	1		ALLEGIANCE TELECOM ANNOUNCES SOLID SECOND QUARTER RESULTS - PART 2 OF 4 [search for similar]	
EVERY CONTROL OF THE PARTY CON	PR Newswire - First Call Wire	1		.04:41pm EDT 24-Jul-01 Corporate Release (ALBRECHT, ANDREW 404-475-4102) ALGX ALLEGIANCE TELECOM ANNOUNCES SOLID SECOND QUARTER RESULTS - PART 2 OF 4 [search for similar]	
THE STATE OF THE S	PR Newswire - First Call Wire	1		.09:09am EDT 11-Jul-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom, Inc. Invites You to Listen to 2nd Quarter Earnings [search for similar]	
organic Visionalista Strangerina Strangerina Strangerina Strangerina	PR Newswire - First Call Wire	1		11:24am EDT 2-Jul-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Introduces Service in White Plains, N.Y. [search for similar]	
	PR Newswire - First Call Wire	1	6/26/2001 4:51:00 PM	Allegiance Telecom Launches Hosting.com in Los	
Villa. Vi	PR Newswire - First Call Wire	1		11:43am EDT 26-Jun-01 Corporate Release (Jerry Ostergaard 708-836-5261) ALGX Allegiance Telecom Launches Hosting.com in Los Angeles [search for similar]	
<u>N</u>	PR Newswire - First Call Wire	1	6/26/2001 4:45:00 PM	Allegiance Telecom Launches Hosting.com Brand in	
WHITE AND ADDRESS OF THE ADDRESS OF	PR Newswire - First Call Wire	1		11:37am EDT 26-Jun-01 Corporate Release (Jerry Ostergaard 708-836-5261) ALGX Allegiance Telecom Launches Hosting.com Brand in Boston [search for similar]	

•	PR Newswire - First Call Wire	1	11:32am EDT 14-Jun-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Introduces Service in Portland, Oregon [search for similar]	
	PR Newswire - First Call Wire	1	10:55am EDT 15-May-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Introduces Service in Sacramento [search for similar]	
100 - 100 -	J.P. Morgan	1	10:42am EDT 4-May-01 J.P. Morgan (BARDEN, DAVID (1-212) 270-6611) ALGX Allegiance Telecom.: Sets Positive Tone For 2001 At Analyst Conference [search for similar]	
	PR Newswire - First Call Wire	1	09:16am EDT 2-May-01 Corporate Release (Ostergaard, Jerry 630-522-5261) ALGX V Verizon and Allegiance Telecom Advance Local Phone Competition [search for similar]	
	PR Newswire - First Call Wire	1	09:12am EDT 2-May-01 Corporate Release (Ostergaard, Jerry 630-522-5261) ALGX Allegiance Telecom Unveils Hosting.com Brand [search for similar]	
	PR Newswire - First Call Wire	1	04:18pm EDT 27-Apr-01 Corporate Release (Jerry Ostergaard 708-836-5261) ALGX Allegiance Telecom Applauds FCC Order on Competitive Carrier Access Charges [search for similar]	
	J.P. Morgan	1	09:20am EDT 26-Apr-01 J.P. Morgan (BARDEN, DAVID (1-212) 270-6611) ALGX Allegiance Telecom: Healthy 1Q Performance; Numbers In Line [search for similar]	
	William Blair & Co	1	04:17pm EDT 25-Apr-01 Blair, William & Co. (Kenneth Kotylo) ALGX Allegiance Telecom Reports Strong First Quarter [search for similar]	
	PR Newswire - First Call Wire	1	08:44am EDT 25-Apr-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom and Qwest Communications Advance Local Phone Competition [search for similar]	
	PR Newswire - First Call Wire	1	11:09am EDT 16-Apr-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Creates Web Hosting Division [search for similar]	
	PR Newswire - First Call Wire	1	01:47pm EST 29-Mar-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Introduces Phone Service & More in Ft. Lauderdale, Florida [search for similar]	
	PR Newswire - First Call Wire	1	09:26am EST 6-Mar-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Introduces Service in San Antonio, Texas [search for similar]	
	Raymond James &	1	10:39am EST 15-Feb-01 R J & A (Richard H. Prentiss 727-573-8916) ALGX ALLEGIANCE TELECOM: SHOW	

į r	Associates Inc		PM	SOME LOVE TO THE GUYS IN "BIG D" - PT 1/2 [search for similar]	
	Raymond James & Associates Inc	1		10:39am EST 15-Feb-01 R J & A (Richard H. Prentiss 727-573-8916) ALGX ALLEGIANCE TELECOM: SHOW SOME LOVE TO THE GUYS IN "BIG D" - PT 2/2 [search for similar]	
	J.P. Morgan	1		D8:54am EST 15-Feb-01 J.P. Morgan (BARDEN, DAVID (1-212) 648-4502) ALGX ALLEGIANCE TELECOM: 4Q NUMBERS IN LINE, 2001 EBITDA ESTIMATE IMPROVED [search for similar]	
	PR Newswire - First Call Wire	1		.08:39am EST 15-Feb-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Announces Fourth Quarter Results (Part 1 of 3) [search for similar]	
	PR Newswire - First Call Wire	1		08:29am EST 15-Feb-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Announces Fourth Quarter and Results (Part 2 of 3) [search for similar]	
	PR Newswire - First Call Wire	1		08:29am EST 15-Feb-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Announces Fourth Quarter and Results (Part 3 of 3) [search for similar]	
	Wachovia Securities	1		01:20am EST 14-Feb-01 First Union Securities, Inc. (Murphy,Frank (804) 868-112 Allegiance Telecom Reports Q4 Results [search for similar]	
	PR Newswire - First Call Wire	1		06:28pm EST 13-Feb-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Adds CTSnet as Subsidiary [search for similar]	
	PR Newswire - First Call Wire	1		06:28pm EST 13-Feb-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Adds Jump.Net as Subsidiary [search for similar]	
	PR Newswire - First Call Wire	1	11:28:00 PM	06:28pm EST 13-Feb-01 Corporate Release (Andrew Albrecht 404-475-4102) ALGX Allegiance Telecom Debuts National Carrier Class Dial-IP Platform Applying [search for similar]	
	J.P. Morgan	1	12:45:00	07:45am EST 26-Jan-01 J.P. Morgan (BARDEN, DAVID (1-212) 648-4502) ALGX ALLEGIANCE TELECOM: INITIATING COVERAGE [search for similar]	
	Calyon Securities (USA) Inc.	1	12:46:00 PM	07:45am EST 18-Jan-01 Credit Lyonnais Sec. (US) (Rick Grubbs (212) 264-7692) VZ Allegiance Telecom: 4Q00 Preview: BUY [search for similar]	
	PR Newswire - First Call Wire	1		O12:39pm EST 11-Dec-00 Corporate Release (Jerry Ostergaard 708-836-5261) ALGX Allegiance Telecom Joins with Xtime [search for similar]	
	Calyon Securities (USA) Inc	1	12:47:00	07:46am EST 8-Dec-00 Credit Lyonnais Sec. (US) (Rick Grubbs (212) 261-7692) Allegiance Telecom:	

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,	PR Newswire - First Call	1	[search for similar] 11/28/200008:35am EST 28-Nov-00 Corporate Release 1:36:00 (Andrew Albrecht 404-475-4102) ALGX Allegiance PM Telecom Introduces Service in Tampa, Florida	
	Wire PR Newswire - First Call Wire	1	[search for similar]  10/18/200004:28pm EDT 18-Oct-00 Corporate Release (Andrew 9:27:00 Albrecht 404-475-4102) ALGX Allegiance Telecom PM Announces Strong 3rd Qtr Results (part 1 of 2) [search for similar]	
	PR Newswire - First Call Wire	1	10/18/200004:28pm EDT 18-Oct-00 Corporate Release (Andrew 9:27:00 Albrecht 404-475-4102) ALGX Allegiance Telecom PM Announces Strong 3rd Qtr Results(part 2 of 2) [search for similar]	,
	PR Newswire - First Call Wire	1	10/16/200009:22am EDT 16-Oct-00 Corporate Release (Andrew 2:25:00 Albrecht 404-475-4102) ALGX Allegiance Telecom PM Introduces Service in the Phoenix Area [search for similar]	
	Sanders Morris Harris	1	10/4/2000 11:31am EDT 4-Oct-00 Sanders Morris Harris 4:30:00 (Research Department 800/423-9656) ALLEGIANCE PM TELECOM: REITERATE BUY RATING - FUNDAMENTALS REMAIN INTACT [search for similar]	ΓΙ
	PR Newswire - First Call Wire	1	10/4/2000 10:36pm EDT 3-Oct-00 Corporate Release (Andrew 3:36:00 Albrecht 404-475-4102) ALGX Allegiance Telecom AM Launches Web Office Product [search for similar]	
	PR Newswire - First Call Wire	1	10/4/2000 10:34pm EDT 3-Oct-00 Corporate Release (Andrew 3:35:00 Albrecht 404-475-4102) ALGX Allegiance Telecom AM Acquires Virtualis Systems [search for similar]	
	PR Newswire - First Call Wire	1	10/4/2000 10:32pm EDT 3-Oct-00 Corporate Release (Andrew 3:35:00 Albrecht 404-475-4102) ALGX Allegiance Telecom AM Pre-Announces Strong Third Quarter Results [search for similar]	
臣	Calyon Securities (USA) Inc.	2	1/4/2002 Allegiance Telecom: Acquisition of Intermedia 2:12:00 Business Internet From WorldCom PM [search for similar]	
	PR Newswire - First Call Wire	2	12/3/2001 Allegiance Telecom`s 36-Market Network Now 10:16:00 Complete  AM [search for similar]	[-]
	PR Newswire - First Call Wire	2	11/12/2001ALLEGIANCE TELECOM PROVIDES CHOICE FOR 3:08:00 PITTSBURGH BUSINESSES PM [search for similar]	
	PR Newswire - First Call Wire	2	10/24/2001ALLEGIANCE TELECOM ANNOUNCES ROBUST THIRD 3:34:00 QUARTER RESULTS ONE MILLIONTH LINE IN PM [search for similar]	Γ.'
<u>D</u>	PR Newswire - First Call Wire	2	10/1/2001 ALLEGIANCE TELECOM RAISES ADDITIONAL \$350 11:16:00 MILLION OF FUNDING LEADING COMPETITI PM [search for similar]	
	PR Newswire -	2	8/28/2001 ALLEGIANCE TELECOM LAUNCHES HOSTING.COM 4:14:00 BRAND IN AUSTIN SUBSIDIARY IS NATIONAL	

	* First Call Wire		PM	[search for similar]	
	PR Newswire - First Call Wire	2		ALLEGIANCE TELECOM DEBUTS SERVICE IN ONTARIO / RIVERSIDE, CALIF. [search for similar]	
	PR Newswire - First Call Wire	2		CHICAGO'S INTERACCESS BECOMES PART OF ALLEGIANCE TELECOM'S HOSTING.COM DIVISIO [search for similar]	
囚	PR Newswire - First Call Wire	2		SAN DIEGO'S CTSNET AND CONNECT.NET BECOME PART OF ALLEGIANCE TELECOM'S HOSTING. [search for similar]	
丛	PR Newswire - First Call Wire	2		Allegiance Telecom, Inc. Invites You to Listen to 2nd Quarter Earnings [search for similar]	
凸	PR Newswire - First Call Wire	2		Allegiance Telecom Introduces Service in White Plains, N.Y. [search for similar]	
凸	PR Newswire - First Call Wire	2	6/14/2001 4:41:00 PM	Allegiance Telecom Introduces Service in Portland, Oregon [search for similar]	
凸	PR Newswire - First Call Wire	2		Allegiance Telecom Introduces Service in Sacramento [search for sımilar]	[.]
<u>D</u>	PR Newswire - First Call Wire	2		Allegiance Telecom and Qwest Communications Advance Local Phone Competition [search for similar]	
	PR Newswire - First Call Wire	2		Allegiance Telecom Introduces Service in San Antonio, Texas [search for similar]	
	PR Newswire - First Call Wire	2		Allegiance Telecom Debuts National Carrier Class Dial-IP Platform Applying [search for similar]	
	PR Newswire - First Call Wire	2		Allegiance Telecom Acquires Virtualis Systems [search for similar]	
囚	PR Newswire - First Call Wire	2		Allegiance Telecom Launches Web Office Product [search for similar]	[]
	PR Newswire - First Call Wire	2		Allegiance Telecom Pre-Announces Strong Third Quarter Results [search for similar]	
四	Calyon	3	5/2/2002	Allegiance Telecom 1Q02 Results: Lower-Than-	

_	Securities (USA) Inc.		12:36:00 Expected Top-Line Revenue PM [search for similar]	
	Calyon Securities (USA) Inc.	3	<pre>2/6/2002 Allegiance Telecom: 4Q01 Earnings Preview 3:09:00 [search for similar] PM</pre>	
囚	Piper Jaffray	3	12/21/2001Allegiance Telecom (ALGX): Initiating Coverage; Will 11:31:00 All CLEC`s Restructure?  AM [search for similar]	
凸	NOSYMFirst Global	3	11/12/2001Allegiance Telecom: Short-term Underperform 6:15:00 [search for similar]  AM	[]
囚	PR Newswire - First Call Wire	3	10/24/2001ALLEGIANCE TELECOM ANNOUNCES ROBUST THIRD 3:34:00 QUARTER RESULTS ONE MILLIONTH LINE IN PM [search for similar]	
	PR Newswire - First Call Wire	3	10/2/2001 ALLEGIANCE TELECOM PRE-ANNOUNCES THIRD 5:58:00 QUARTER RESULTS AND UPDATES GUIDANCE AM [search for similar]	
	BMO Nesbitt Burns	3	7/26/2001 AM: Telecom - XO Communications and Allegiance 1:57:00 Telecom Reports Q2 PM [search for similar]	
囚	UBS (US)	4	6/19/2002 Allegiance Telecom Buys Two Blue Light Specials 2:31:00 [search for similar] PM	
囚	J.P. Morgan	4	2/20/2002 Allegiance Telecom, Inc : Positive 4Q Results; 6:49:00 Maintaining 2002 Outlook AM [search for similar]	
	PR Newswire - First Call Wire	4	10/24/2001ALLEGIANCE TELECOM ANNOUNCES ROBUST THIRD 3:34:00 QUARTER RESULTS ONE MILLIONTH LINE IN PM [search for similar]	
囚	PR Newswire - First Call Wire	4	10/24/2001ALLEGIANCE TELECOM ANNOUNCES ROBUST THIRD 3:34:00 QUARTER RESULTS ONE MILLIONTH LINE IN PM [search for similar]	
	UBS (US)	4	10/24/2001Allegiance Telecom: Industry & Economic Clouds 1:59:00 Loom Overhead PM [search for similar]	To the state of th
囚	NOSYMFirst Global	4	8/8/2001 Allegiance Telecom: Outperform 9:00:00 [search for similar] PM	
	PR Newswire - First Call Wire	4	<ul><li>2/15/2001 Allegiance Telecom Announces Fourth Quarter</li><li>1:52:00 Results (Part 1 of 3)</li><li>PM [search for similar]</li></ul>	
	UBS (US)	5	7/3/2002 Allegiance Telecom: Lowering Rating to Sell with 11:42:00 \$0.50 Price Target  AM [search for similar]	
	UBS (US)	5	5/1/2002 Allegiance Telecom: 'If I Had Three Million Dollars' 2:25:00 [search for similar] PM	
	UBS (US)	6	2/20/2002 Allegiance Telecom: In a Little While 12:13:00 [search for similar]	

<b>A</b> '	Credit Suisse First Boston	8	7/27/2001 ALGX: Allegiance Telecom, Inc.: 2Q01 Reported: 1:32:00 Revenues and EBITDA In-Line  AM [search for similar]	V
	Credit Suisse First Boston	20	7/13/2001 ALGX: Allegiance Telecom, Inc.: Initiating Coverage 2:09:00 with a Hold Rating  AM [search for similar]	<b>Y</b>
<u>N</u>	UBS (US)	32	8/2/2001 Allegiance Telecom "Execution, Execution" (B) 7:11:00 Waldorf PM [search for similar]	•
囚	J.P. Morgan	36	1/25/2001 ALLEGIANCE TELECOM, INC.: STILL GOINGAND 11:53:00 GOINGAND GOINGAND PM [search for similar]	V
	Bear Stearns US	44	3/27/2002 algx: allegiance telecom, inc.: near-term 5:03:00 performance is vital PM [search for similar]	V

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Investext Search Results			
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ALGX: RAISING PRICE TARGET TO \$120 BASED ON MARKET	1/4/2000	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	GRUBMAN/MCMAHON
ALLEGIANCE TELECOM	1/5/2000	2 PRUDENTIAL EQUITY GROUP, INC.	VARADARAJAN. S.
ALLEGIANCE TELECOM	1/18/2000	Ť	
ALLEGIANCE TELECOM	2/3/2000	19 UBS (US)	MELTZER, L.B.
ALLEGIANCE TELECOM	2/3/2000	8 WACHOVIA SECURITIES	
ALGX: STRONG 4Q99 RESULTS: RAISING TARGET TO \$160 PART 1	2/8/2000	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	GRUBMAN/MCMAHON
ALGX: STRONG 4Q99 RESULTS: RAISING TARGET TO \$160 PART 2	2/8/2000	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	GRUBMAN/MCMAHON
ALLEGIANCE TELECOM: FOURTH QUARTER RESULTS IN LINE	2/9/2000	1 DONALDSON, LUFKIN & JENRETTE MORNING MEETING NOTES	W. TODD SCOTT
ALLEGIANCE TELECOM	2/10/2000	22 DONALDSON, LUFKIN & JENRETTE SECURITIES	SCOTT, T.
ALLEGIANCE TELECOM: INITIATING COVERAGE	2/16/2000	4 LADENBURG, THALMANN & CO. INC.	•
ALLEGIANCE TELECOM (BRIEF)	2/28/2000	2 KAUFMAN BROTHERS	GROVER, V.
ALLEGIANCE TELECOM [BRIEF]	2/28/2000	2 KAUFMAN BROTHERS	GROVER, V.
ALGX: ADJUSTING FOR 3:2 STOCK SPLIT	2/29/2000	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	GRUBMAN/MCMAHON
ALLEGIANCE TELECOM	3/8/2000	5 KAUFMAN BROTHERS	GROVER, V.
ALLEGIANCE TELECOM, INC.	3/13/2000	3 CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.	CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.
KIVEX, INC.	3/13/2000	3 CORPORATE TECHNOLOGY INFORMATION SERVICES, CORPORATE TECHNOLOGY INC.  INC.	Ş
ALLEGIANCE TELECOM	4/4/2000	4 LADENBURG, THALMANN & CO. INC.	BAUER, J.L.
ALLEGIANCE TELECOM: INITIATING COVERAGE	4/14/2000	13 CIBC WORLD MARKETS CORP.	T AL
ALLEGIANCE TELECOM: INITIATING COVERAGE	4/17/2000	13 CIBC WORLD MARKETS CORP.	
ALLEGIANCE TELECOM	4/17/2000	2 LADENBURG, THALMANN & CO. INC.	BAUER, J.L.
ALLEGIANCE TELECOM: INITIATING COVERAGE	4/19/2000	21 RAYMOND JAMES & ASSOCIATES, INC.	PRENTISS, R.H.
ALLEGIANCE TELECOM	4/26/2000	2 CIBC WORLD MARKETS CORP.	
ALLEGIANCE TELECOM: 1Q00 RESULTS MEET OVERALL	4/26/2000	1 DONALDSON, LUFKIN & JENRETTE MORNING MEETING NOTES	W. TODD SCOTT
ALLEGIANCE TELECOM	4/26/2000	1 LADENBURG, THALMANN & CO. INC.	BAUER, J.L.
ALLEGIANCE TELECOM	4/27/2000	13 HOAK BREEDLOVE WESNESKI & CO.	BAIN, J.S.

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ALL ECIANCE TELECOM		Contributor	Author	
ALLEGIANCE TELECOM			PRENTISS, R.H.	
ALLEGIANCE TELECOM			PRENTISS, R., ET AL	C
ALLEGIANCE TELECOM	2/8/2000	6 RAYMOND JAMES & ASSOCIATES, INC.	PRENTISS, R.H., ET AL	Case
ALLEGIANCE TELECOM: INITIATING COVERAGE	5/19/2000	3 CREDIT LYONNAIS SECURITIES (USA)	GRUBBS, R.	e 3
ALLEGIANCE TELECOM: INITIATING COVERAGE	5/19/2000	Ī.,	GRUBBS, R.	:0
ALLEGIANCE TELECOM, INC.	6/16/2000	4 CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.		3-cv-02
ALLEGIANCE TELECOM	6/28/2000	S STEPHENS INC.	PI LICKHAHN C.W	76
ALLEGIANCE TELECOM			- 1	1-k
ALLEGIANCE TELECOM	7/14/2000	4 KAUFMAN BROTHERS	GROVER, V.	(
ALLEGIANCE TELECOM: INITIATING COVERAGE	7/24/2000	3 RBC CAPITAL MARKETS (CANADA)	BANKS, D.J.	
ALLEGIANCE TELECOM	7/25/2000	I RBC CAPITAL MARKETS (CANADA)	BANKS, D.J.	00
ALLEGIANCE TELECOM	7/25/2000	3 SMITH BARNEY CITIGROUP (US RESEARCH)	GRUBMAN, J.	cu
ALLEGIANCE TELECOM: STRONG SECOND QUARTER RESULTS IN	7/26/2000	DONALDSON, LUFKIN & JENRETTE MORNING MEETING NOTES	w. торр scott	men
ALLEGIANCE TELECOM	7/26/2000	4 LADENBURG, THALMANN & CO. INC.	BAUER, J.L.	t 4
ALLEGIANCE TELECOM	2//26/2000	RBC CAPITAL MARKETS (CANADA)	BANKS, D.J.	0
ALGX: REPORTS 2000 RESULTS	7/26/2000	SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN	
ALGX: SOLID 2Q RESULTS HIGHLIGHT STRONG BUSINESS PLAN	7/26/2000	SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN	Filed
ALLEGIANCE TELECOM: UPDATING ESTIMATES TO REFLECT	7/27/2000	DONALDSON, LUFKIN & JENRETTE MORNING MEETING NOTES	w. торр scотт	10/0
ALLEGIANCE TELECOM	7/27/2000	4 HIBERNIA SOUTHCOAST CAPITAL	OTT, J.E.	)4,
ALLEGIANCE TELECOM	7/27/2000	1 RAYMOND JAMES & ASSOCIATES, INC.	PRENTISS, R.H.	/20
ALLEGIANCE TELECOM	7/31/2000	2 STEPHENS INC.	PLUCKHAHN, C.W.	004
ALLEGIANCE TELECOM: INITIATING COVERAGE	8/16/2000 1	13 SANDERS MORRIS HARRIS	ROSS, D.	4
ALLEGIANCE TELECOM		4 CIBC WORLD MARKETS CORP.	CARR, C.	F
ALLEGIANCE TELECOM		5 HIBERNIA SOUTHCOAST CAPITAL	OTT, J.E.	Pa
CEO INTERVIEW:R. HOLLAND, ALLEGIANCE TELECOM INC (KAQ630)	8/28/2000	4 WALL STREET TRANSCRIPT CORPORATION	WALL STREET TRANSCRIPT CORP.	ge 4
ALLEGIANCE TELECOM	9/11/2000 1		GROVER, V.	7
ALGX: STRONG 3Q LINE ADDS IN THE FACE OF VZ STRIKE	10/3/2000	SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN	of 93
ALLEGIANCE TELECOM		2 SMITH BARNEY CITIGROUP (US RESEARCH)	GRUBMAN, J.	3
ALLEGIANCE TELECOM			CARR, C.	
ALLEGIANCE TELECOM		9 HOAK BREEDLOVE WESNESKI & CO.	BAIN, J.S.	
ALLEGIANCE TELECOM	10/4/2000	RAYMOND JAMES & ASSOCIATES, INC.	PRENTISS, R.H.	

Title	Date	Pas Contributor	Author
ALLEGIANCE TELECOM	8	STEPHENS INC.	PLUCKHAHN, C.W.
ALLEGIANCE TELECOM: INITIATING COVERAGE	10/10/2000	17 ROBERTSON STEPHENS	FRIEDLAND, J.
COVAD COMMUNICATIONS GROUP	10/16/2000	2 RBC CAPITAL MARKETS (CANADA)	BANKS, D.J.
ALGX: RAISING TARGET TO \$70 FROM \$60	10/17/2000	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN
ALLEGIANCE TELECOM	10/17/2000	1 SMITH BARNEY CITIGROUP (US RESEARCH)	GRUBMAN, J.
ALGX: 3Q RESULTS STRONG; REITERATE BUY PART 1 OF 2	10/18/2000	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN
ALGX: 3Q RESULTS STRONG; REITERATE BUY PART 2 OF 2	10/18/2000	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN
ALLEGIANCE TELECOM	10/18/2000	4 SMITH BARNEY CITIGROUP (US RESEARCH)	GRUBMAN, J.
ALLEGIANCE TELECOM	10/19/2000	4 HIBERNIA SOUTHCOAST CAPITAL	OTT, J.E.
ALLEGIANCE TELECOM	10/19/2000	2 RBC CAPITAL MARKETS (CANADA)	BANKS, D.J.
ALLEGIANCE TELECOM	10/20/2000		PLUCKHAHN, C.W.
ALLEGIANCE TELECOM	10/24/2000	-	OTT, J.E.
ALLEGIANCE TELECOM	10/25/2000	2 FITCH, IBCA	HENDERSON, E.G., ET AL
ALLEGIANCE TELECOM	10/25/2000	8 KAUFMAN BROTHERS	GROVER, V.
ALLEGIANCE TELECOM	11/15/2000	11 HOAK BREEDLOVE WESNESKI & CO.	BAIN, J.S.
ALLEGIANCE TELECOM	11/16/2000	2 RBC CAPITAL MARKETS (US)	ATKIN, J.
ALLEGIANCE TELECOM: INITIATING COVERAGE	11/16/2000	2 RBC CAPITAL MARKETS (US)	ATKIN, J.
ALLEGIANCE TELECOM	11/17/2000		GRUBBS, R.
ALLEGIANCE TELECOM	12/8/2000	2 CREDIT LYONNAIS SECURITIES (USA)	GRUBBS, R.
ALLEGIANCE TELECOM: INITIATING COVERAGE	1/10/2001		GOLDSMITH, D.M.
ALLEGIANCE TELECOM	1/11/2001		ATKIN, J.
ALLEGIANCE TELECOM	1/18/2001		GRUBBS, R.
ALLEGIANCE TELECOM	2/5/2001		ATKIN, J.
ALLEGIANCE TELECOM: INITIATING COVERAGE	2/12/2001	13 WACHOVIA SECURITIES	SHOOK, S.J.
ALGX: 4Q'00 AND '01 GUIDANCE IN-LINE; PART 1 OF 2	2/13/2001	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN
ALGX: 4Q'00 AND '01 GUIDANCE IN-LINE; PART 2 OF 2	2/13/2001	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN
ALLEGIANCE TELECOM	2/13/2001	3 SMITH BARNEY CITIGROUP (US RESEARCH)	GRUBMAN, J.
ALLEGIANCE TELECOM	2/14/2001	3 CIBC WORLD MARKETS CORP.	CARR, C., ET AL
ALLEGIANCE TELECOM	2/14/2001	2 RBC CAPITAL MARKETS (US)	ATKIN, J.
ALGX: 4Q'00 AND '01 GUIDANCE IN-LINE; PART 1 OF 2	2/14/2001	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN
ALGX: 4Q'00 AND '01 GUIDANCE IN-LINE; PART 2 OF 2	2/14/2001	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN
ALLEGIANCE TELECOM	2/15/2001	3 CREDIT LYONNAIS SECURITIES (USA)	GRUBBS, R.
ALLEGIANCE TELECOM	2/15/2001	5 HIBERNIA SOUTHCOAST CAPITAL	

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ALLEGIANCE TELECOM	0/1E/0001	A DAVACAID TAMES & ASSOCIATES INC.	Author
ALLEGISTROL ILLEGOIM	1002/01/2		ריה יים.
ALLEGIANCE IELECOM	2/15/2001	5 ROBERTSON STEPHENS	FRIEDLAND, J.
ALLEGIANCE TELECOM	2/15/2001	2 UBS (US)	MELTZER, L.B.
ALLEGIANCE TELECOM	2/16/2001	2 STEPHENS INC.	PLUCKHAHN, C.W.
ALLEGIANCE TELECOM	2/19/2001	3 BEAR, STEARNS & CO., INC.	FORE, W.D., ET AL
ALLEGIANCE TELECOM	2/20/2001	7 BUCKINGHAM RESEARCH GROUP, INC.	GOLDSMITH, D.M.
ALLEGIANCE TELECOM	2/20/2001	2 KAUFMAN BROTHERS	GROVER, V.
ALLEGIANCE TELECOM	2/21/2001	8 KAUFMAN BROTHERS	GROVER, V.
ALLEGIANCE TELECOM	2/26/2001	13 ROBERTSON STEPHENS	FRIEDLAND, J.
ALLEGIANCE TELECOM, INC.	2/27/2001	3 CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.	S, CORPORATE TECHNOLOGY INFORMATION SERVICES, INC
ALLEGIANCE TELECOM, INC.	2/27/2001	3 CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.	S, CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.
ALLEGIANCE TELECOM	2/27/2001	19 FAHNESTOCK & CO., INC.	RECAREY, M.J.
ALLEGIANCE TELECOM - INITIATING COVERAGE	2/27/2001	6 FAHNESTOCK & CO., INC.	RECAREY, M.J.
ALLEGIANCE TELECOM	3/2/2001	2 FAHNESTOCK & CO., INC.	RECAREY, M.J.
ALLEGIANCE TELECOM	3/13/2001	2 RBC CAPITAL MARKETS (US)	ATKIN, J.
ALLEGIANCE TELECOM	3/14/2001	3 CREDIT LYONNAIS SECURITIES (USA)	GRUBBS, R.
ALLEGIANCE TELECOM	3/16/2001	65 KAUFMAN BROTHERS	GRAY, C., ET AL
ALLEGIANCE TELECOM	3/22/2001	3 FAHNESTOCK & CO., INC.	RECAREY, M.J.
ALLEGIANCE TELECOM	3/23/2001	5 BUCKINGHAM RESEARCH GROUP, INC.	GOLDSMITH, D.M.
ALLEGIANCE TELECOM	3/27/2001	2 RBC CAPITAL MARKETS (US)	ATKIN, J.
ALLEGIANCE TELECOM	3/28/2001		ОТТ, J.E.
ALLEGIANCE TELECOM	3/28/2001	13 HOAK BREEDLOVE WESNESKI & CO.	BAIN, J.S.
ALLEGIANCE TELECOM	4/3/2001	3 UBS (US)	MELTZER, L.B.
ALLEGIANCE TELECOM	4/17/2001	2 UBS (US)	WALDORF, G., ET AL
ALGX: Q1'01 ON-TARGET; THIS CLEC IS PART 1 OF 2	4/24/2001	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN
ALGX: Q1'01 ON-TARGET; THIS CLEC IS PART 2 OF 2	4/24/2001	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN
ALLEGIANCE TELECOM	4/24/2001	4 SMITH BARNEY CITIGROUP (US RESEARCH)	GRUBMAN, J.
ALLEGIANCE TELECOM	4/25/2001	7 BUCKINGHAM RESEARCH GROUP, INC.	GOLDSMITH, D.M.
ALLEGIANCE TELECOM	4/25/2001	3 FAHNESTOCK & CO., INC.	RECAREY, M.J.
ALLEGIANCE TELECOM	4/25/2001		BAIN, J.S.
ALLEGIANCE TELECOM	4/25/2001		GROVER, V.
ALLEGIANCE TELECOM	4/25/2001	2 RAYMOND JAMES & ASSOCIATES, INC.	PRENTISS, R.H.

Title	Date	Pgs Contributor	Author
ALLEGIANCE TELECOM	4/25/2001	RBC CAPITAL MARKE	ATKIN, J.
ALGX: Q1'01 ON-TARGET; THIS CLEC IS PART 1 OF 2	4/25/2001	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	G JACK B. GRUBMAN
ALGX: Q1'01 ON-TARGET; THIS CLEC IS PART 2 OF 2	4/25/2001	1 SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	G JACK B. GRUBMAN
ALLEGIANCE TELECOM	4/25/2001	2 STEPHENS INC.	PLUCKHAHN, C.W.
ALLEGIANCE TELECOM (IN FINNISH)	4/25/2001	2 UBS (US)	WALDORF, G.
ALLEGIANCE TELECOM	4/26/2001	3 CREDIT LYONNAIS SECURITIES (USA)	GRUBBS, R.
ALLEGIANCE TELECOM	4/26/2001	6 HIBERNIA SOUTHCOAST CAPITAL	OTT, J.E.
ALLEGIANCE TELECOM	4/26/2001	3 RBC CAPITAL MARKETS (US)	ATKIN, J.
ALLEGIANCE TELECOM	4/26/2001	13 ROBERTSON STEPHENS	FRIEDLAND, J.
ALLEGIANCE TELECOM HOSTING	4/27/2001	4 CORPORATE TECHNOLOGY INFORMATION SERVICES, CORPORATE TECHNOLOGY INC.	IVICES, CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.
ALLEGIANCE TELECOM, INC.	4/27/2001	6 CORPORATE TECHNOLOGY INFORMATION SERVICES, CORPORATE TECHNOLOGY INC. INFORMATION SERVICES, IN	WICES, CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.
ALLEGIANCE TELECOM	4/30/2001	3 ROBERTSON STEPHENS	FRIEDLAND, J.
ALLEGIANCE TELECOM	4/30/2001	5 WACHOVIA SECURITIES	SHOOK, S.J.
ALLEGIANCE TELECOM	5/2/2001	2 UBS (US)	BOURKOFF, A.B.
ALLEGIANCE TELECOM	5/3/2001	3 FAHNESTOCK & CO., INC.	RECAREY, M.J.
ALLEGIANCE TELECOM	5/4/2001		FRIEDLAND, J.
ALLEGIANCE TELECOM	5/4/2001	3 STEPHENS INC.	PLUCKHAHN, C.W.
ALLEGIANCE TELECOM	5/14/2001	2 FITCH, IBCA	HENDERSON, E.G.
ALLEGIANCE TELECOM	5/22/2001	13 RBC CAPITAL MARKETS (US)	ATKIN, J.
ALLEGIANCE TELECOM, INC.	5/26/2001	6 CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.	NICES, CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.
KIVEX, INC.	5/26/2001	3 CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.	WICES, CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.
ALLEGIANCE TELECOM	6/29/2001	37 WILLIAM BLAIR & COMPANY	КОТУLО, К.
ALLEGIANCE TELECOM	7/2/2001	3 RBC CAPITAL MARKETS (US)	ATKIN, J.
PM CALL: ALGX: INITIATING COVERAGE WITH A HOLD	7/9/2001	1 CREDIT SUISSE FIRST BOSTON MORNING MEETING NOTES	TING KASTAN, MARK
PM CALL: ALGX: INITIATING COVERAGE WITH A HOLD	7/9/2001	1 CREDIT SUISSE FIRST BOSTON MORNING MEETING NOTES	TING KASTAN, MARK

Title	Date	Pgs	Contributor	Author	Γ
ALLEGIANCE TELECOM	5	FITCH, IBCA		CULVER, J.C., ET AL	
ALLEGIANCE TELECOM	7/10/2001	6 FITCH, IBCA		CULVER, J.C., ET AL	
ALLEGIANCE TELECOM: INITIATING COVERAGE	7/11/2001	8 ROBERT W. BAIRD & CO. INCORPORATED	O. INCORPORATED	BACURIN, M.A.	Ca
ALLEGIANCE TELECOM: INITIATING COVERAGE	7/12/2001	18 CREDIT SUISSE FIRST	CREDIT SUISSE FIRST BOSTON CORPORATION	KASTAN, M.	se
INITIATING COVERAGE WITH A MARKET PERFORM (PART 1 OF 3)	7/19/2001	1 ROBERTSON STEPHEN	ROBERTSON STEPHENS MORNING MEETING NOTES	FRIEDLAND, JIM	3:0
INITIATING COVERAGE WITH A MARKET PERFORM (PART 2 OF 3)	7/19/2001	1 ROBERTSON STEPHEN	ROBERTSON STEPHENS MORNING MEETING NOTES	FRIEDLAND, JIM	3-cv
INITIATING COVERAGE WITH A MARKET PERFORM (PART 3 OF 3)	7/19/2001	1 ROBERTSON STEPHEN	ROBERTSON STEPHENS MORNING MEETING NOTES	FRIEDLAND, JIM	'-027
ALLEGIANCE TELECOM	7/23/2001	2 CREDIT LYONNAIS SECURITIES (USA)	CURITIES (USA)	GRUBBS, R.	761
ALLEGIANCE TELECOM	7/23/2001	1			-K
ALGX: Q2 ON TARGET; THIS CLEC IS STILL PART 1 OF 2	7/24/2001	1 SMITH BARNEY CITIGR NOTES)	SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN	
ALGX: Q2 ON TARGET; THIS CLEC IS STILL PART 2 OF 2	7/24/2001	1 SMITH BARNEY CITIGR NOTES)	SMITH BARNEY CITIGROUP (MORNING MEETING NOTES)	JACK B. GRUBMAN	Docu
ALLEGIANCE TELECOM	7/24/2001	4 SMITH BARNEY CITIGROUP (US RESEARCH)	OUP (US RESEARCH)	GRUBMAN, J.	ım
ALLEGIANCE TELECOM	7/25/2001	3 CREDIT LYONNAIS SECURITIES (USA)	URITIES (USA)	GRUBBS, R.	er
ALGX: 2Q01 REPORTED - REVENUES AND EBITDA IN LINE	7/25/2001		CREDIT SUISSE FIRST BOSTON MORNING MEETING NOTES	KASTAN, MARK	nt 40
ALLEGIANCE TELECOM	7/25/2001	8 KAUFMAN BROTHERS		GROVER, V.	<u> </u>
ALLEGIANCE TELECOM	7/25/2001	4 ROBERT W. BAIRD & CO.	D. INCORPORATED	BACURIN, M.A.	F
ALLEGIANCE TELECOM	7/25/2001	8 ROBERTSON STEPHENS	8	FRIEDLAND, J.	Fil€
Q2 RESULTS ON TARGET IN TOUGH PART 1 OF 2	7/25/2001	1 ROBERTSON STEPHEN	ROBERTSON STEPHENS MORNING MEETING NOTES	FRIEDLAND, JIM	ed 1
Q2 RESULTS ON TARGET IN TOUGH PART 2 OF 2	7/25/2001	1 ROBERTSON STEPHEN	ROBERTSON STEPHENS MORNING MEETING NOTES	FRIEDLAND, JIM	10/04
ALLEGIANCE TELECOM	7/25/2001	3 STEPHENS INC.		PLUCKHAHN, C.W.	I/20
ALLEGIANCE TELECOM	7/25/2001	4 UBS (US)		WALDORF, G.	00
ALLEGIANCE TELECOM	7/26/2001	5 CREDIT SUISSE FIRST	CREDIT SUISSE FIRST BOSTON CORPORATION	KASTAN, M., ET AL	4
ALLEGIANCE TELECOM	7/27/2001		NTS INC.	MORABITO, T.	
ALLEGIANCE TELECOM	7/30/2001	28 UBS (US)	10 m	WALDORF, G.	Pa
ALGX: INITIATING COVERAGE WITH A BUY PART 1/3	8/1/2001	1 DEUTSCHE BANC (US)	DEUTSCHE BANC (US) MORNING MEETING NOTES	B. FIFER/J. KING	ge
ALGX: INITIATING COVERAGE WITH A BUY PART 2/3	8/1/2001	1 DEUTSCHE BANC (US)	DEUTSCHE BANC (US) MORNING MEETING NOTES	B. FIFER/J. KING	51 of
ALGX: INITIATING COVERAGE WITH A BUY PART 3/3	8/1/2001	1 DEUTSCHE BANC (US)	DEUTSCHE BANC (US) MORNING MEETING NOTES	B. FIFER/J. KING	f 93
ALLEGIANCE TELECOM	8/1/2001	6 WACHOVIA SECURITIES		SHOOK, S.J.	1
ALLEGIANCE TELECOM	8/2/2001	22 DEUTSCHE BANK SECURITIES INC.	JRITIES INC.	FIFER, B.	
-ALLEGIANCE TELECOM	8/2/2001	6 HIBERNIA SOUTHCOAST CAPITAL	T CAPITAL	ОТТ, J.E.	

Title					ſ
	-	ומי	Contributor	Author	
ALLEGIANCE IELECOM INC.	8/10/2001	œ	MERGENT, INC COMPANY REPORT	FINANCIAL INFORMATION	T
				SERVICES	Ca
ALLEGIANCE TELECOM	8/29/2001	22	BB&T CAPITAL MARKETS	ACEY, R.	SE
ALLEGIANCE TELECOM HOSTING	8/31/2001	4	CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.	CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.	3:03-c
ALLEGIANCE TELECOM, INC.	8/31/2001	9	CORPORATE TECHNOLOGY INFORMATION SERVICES, CORPORATE TECHNOLOGY INC.	CORPORATE TECHNOLOGY INFORMATION SERVICES, INC.	v-02761
ALLEGIANCE TELECOM	9/1/2001	2	CREDIT SUISSE FIRST BOSTON CORPORATION	KASTAN M. FT AI	-K
ALLEGIANCE TELECOM	9/4/2001		DEUTSCHE BANK SECURITIES INC.	FIFER, B.	1
ALGX: CASH IN THE POCKET AT UNDER SEVEN PERCENT-BUY	9/18/2001	-	DEUTSCHE BANC (US) MORNING MEETING NOTES	B. FIFER/J. KING	Doc
ALLEGIANCE TELECOM	9/20/2001	2	FITCH, IBCA	HENDERSON, E.G.	um
ALGX: LONGSTANDING FEARS REALIZED, COMPANY GUIDES	9/26/2001	-	CREDIT SUISSE FIRST BOSTON MORNING MEETING NOTES	KASTAN, MARK	ent
ALLEGIANCE TELECOM	9/26/2001	7	KAUFMAN BROTHERS	GROVER. V.	40
ALLEGIANCE TELECOM	9/26/2001	8	KAUFMAN BROTHERS	GROVER V	) —
ALGX: BUS. GROWING, BALANCE SHEET PART 1 OF 2	9/26/2001	-	SMITH BARNEY CITIGROUP (MORNING MEETING	JACK B. GRUBMAN	F
ALGX: BUS. GROWING, BALANCE SHEET PART 2 OF 2	9/26/2001		SMITH BARNEY CITIGROUP (MORNING MEETING	JACK B. GRUBMAN	iled
AL POLATION TO TO THE POLATION OF THE POLATION			NOTES)		1 1
ALLEGIANCE   ELECOM	9/26/2001		SMITH BARNEY CITIGROUP (US RESEARCH)	GRUBMAN, J.	0/
ALLEGIANCE TELECOM	9/27/2001		CIBC WORLD MARKETS CORP.	CARR, C.	04
ALLEGIANCE   ELECOM	9/27/2001		CREDIT LYONNAIS SECURITIES (USA)	GRUBBS, R.	/2I
ALGX: 3001 PHEVIEW BELOW GUIDANCE, NO CHANGE TO	9/27/2001	<u> </u>	DEUTSCHE BANC (EUROPE) MORNING MEETING NOTES	B. FIFER/J. KING	004
ALLEGIANCE TELECOM	9/27/2001	2	KAUFMAN BROTHERS	GROVER, V.	_
ALLEGIANCE TELECOM	9/27/2001	2	KAUFMAN BROTHERS	GROVER, V.	Р
ALLEGIANCE TELECOM	9/27/2001	2	RBC CAPITAL MARKETS (US)	ATKIN, J.	ag
ALLEGIANCE TELECOM	9/27/2001	4 F	ROBERT W. BAIRD & CO. INCORPORATED	BACURIN M.A.	е
ALLEGIANCE TELECOM	9/27/2001	4		FRIEDLAND. J.	52 T
ALLEGIANCE TELECOM	9/27/2001	က	STEPHENS INC.	PLUCKHAHN, C.W.	0
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ALLEGIANCE TELECOM INC Reporting Person: MORGAN STANLEY Filed As: MORGAN STANLEY DEAN WITTER & CO	4	11/13/2000	10/31/2000	HTML   ORIG   RTF   PDF   XLS	File
ALLEGIANCE TELECOM INC	REGDEX	11/8/2000	N/A	PAPER	<u>F</u> ile
ALLEGIANCE TELECOM INC	REGDEX	10/13/2000	N/A	PAPER	File
ALLEGIANCE TELECOM INC	<u>S-</u> 8	9/28/2000	N/A	HTML   ORIG   RTF   PDF   XLS	File
ALLEGIANCE TELECOM INC	1 <u>0</u> -Q	8/14/2000	6/30/2000	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
ALLEGIANCE TELECOM INC	REGDEX	7/14/2000	N/A	PAPER	File
ALLEGIANCE TELECOM INC	REGDEX	5/30/2000	N/A	PAPER	File
ALLEGIANCE TELECOM INC	<u>10-Q</u>	5/15/2000	3/31/2000	HTML   ORIG   RTF   PDF   XLS	File
ALLEGIANCE TELECOM INC	<u>DEF 14A</u>	4/12/2000	5/10/2000	HTML   ORIG   RTF   PDF   XLS	File
ALLEGIANCE TELECOM INC	<u>10-K405</u>	3/30/2000	12/31/1999	HTML   ORIG   RTF   PDF   XLS	File
ALLEGIANCE TELECOM INC Filer: PUTNAM LLC Filed As: PUTNAM INVESTMENTS INC	<u>SC 13</u> G/A	2/17/2000	N/A	HTML   ORIG   RTF   PDF   XLS	File
ALLEGIANCE TELECOM INC Filer: MADISON DEARBORN CAPITAL PARTNERS L P	SC 13G/A	2/16/2000	N/A	HTML   ORIG   RTF   PDF   XLS	File
ALLEGIANCE TELECOM INC Reporting Person: MORGAN STANLEY Filed As: MORGAN STANLEY DEAN WITTER & CO	<u>4</u>	2/10/2000	1/31/2000	HTML   ORIG   RTF   PDF   XLS	File
ALLEGIANCE TELECOM INC Filer: JENNISON ASSOCIATES LLC	<u>SC 13G/A</u>	2/10/2000	N/A	HTML   ORIG   RTF   PDF   XLS	File
ALLEGIANCE TELECOM INC Filer: JENNISON ASSOCIATES LLC	<u>SC 13G/A</u>	2/10/2000	N/A	HTML   ORIG   RTF   PDF   XLS	File
	COMPANY NAME  ALLEGIANCE TELECOM INC Filer: JENNISON ASSOCIATES LLC  ALLEGIANCE TELECOM INC Filer: MORGAN STANLEY Filed As: MORGAN STANLEY DEAN WITTER & CO  ALLEGIANCE TELECOM INC Filer: WELLINGTON MANAGEMENT CO LLP  ALLEGIANCE TELECOM INC Filer: MASSACHUSETTS FINANCIAL SERVICES CO /MA/  ALLEGIANCE TELECOM INC Filer: MASSACHUSETTS FINANCIAL SERVICES CO /MA/  ALLEGIANCE TELECOM INC Filer: MORGAN STANLEY FILED FILED FILED FILED FINANCIAL SERVICES CO /MA/  ALLEGIANCE TELECOM INC FILEGIANCE TELECOM INC FILER: PRICE T ROWE ASSOCIATES INC /MD/  ALLEGIANCE TELECOM INC  ALLEGIANCE TELECOM INC FILER: VULCAN VENTURES INC  ALLEGIANCE TELECOM INC FILER: VULCAN VENTURES INC  ALLEGIANCE TELECOM INC REPORTING PERSON: MORGAN STANLEY FILED	ALLEGIANCE TELECOM INC Filer: JENNISON ASSOCIATES LLC ALLEGIANCE TELECOM INC Filer: JENNISON ASSOCIATES LLC ALLEGIANCE TELECOM INC Filer: MORGAN STANLEY Filed As: MORGAN STANLEY DEAN WITTER & CO ALLEGIANCE TELECOM INC Filer: WELLINGTON MANAGEMENT CO LLP ALLEGIANCE TELECOM INC Filer: MASSACHUSETTS FINANCIAL SERVICES CO /MA/ ALLEGIANCE TELECOM INC Reporting Person: MORGAN STANLEY Filed As: MORGAN STANLEY DEAN WITTER & CO ALLEGIANCE TELECOM INC REPORTING PERSON: MORGAN STANLEY FILED AS: MORGAN STANLEY DEAN WITTER & CO ALLEGIANCE TELECOM INC FILER: VULCAN INC FILER: VULCAN VENTURES INC ALLEGIANCE TELECOM INC REPORTING PERSON: MORGAN STANLEY FILED AS: WULCAN VENTURES INC ALLEGIANCE TELECOM INC FILER: WADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN CAPITAL PARTNERS L P ALLEGIANCE TELECOM INC FILER: MADISON DEARBORN	Name   Form Type   Received   R		

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		Contra di cinon				
Profile	Company Name	Form Type	Received	Period	Views	Filed Viewe
<b>P</b> 76.	ALLEGIANCE TELECOM INC Filer: WEST HIGHLAND CAPITAL INC/LHG/WHP/PB/BP	SC 13G <u>/A</u>	3/8/2002	N/A	HTML   ORIG   RTF   PDF	<u>File</u>
<b>P</b> 77.	ALLEGIANCE TELECOM INC Filer: MORGAN STANLEY Filed As: MORGAN STANLEY DEAN WITTER & CO	SC 13G/A	2/14/2002	N/A	HTML   ORIG   RTF   PDF	<u>File</u>
P) 78.	ALLEGIANCE TELECOM INC Filer: WELLINGTON MANAGEMENT CO LLP	SC 13G/A	2/12/2002	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 79.	ALLEGIANCE TELECOM INC Filer: PRICE T ROWE ASSOCIATES INC /MD/	SC 13G/A	2/8/2002	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>(P)</b> 80.	ALLEGIANCE TELECOM INC Filer: INVESCO FUNDS GROUP INC	SC 13G	2/8/2002	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 81.	ALLEGIANCE TELECOM INC Filer: WEST HIGHLAND CAPITAL INC/LHG/WHP/PB/BP	SC 13G/A	2/4/2002	N/A	HTML   ORIG   RTF   PDF	File
<b>P</b> 82.	ALLEGIANCE TELECOM INC	<u>10-Q</u>	11/14/2001	9/30/2001	HTML   ORIG   RTF   PDF   XLS	<u>Fil</u> e
<b>P</b> 83.	ALLEGIANCE TELECOM INC	<u>424B3</u>	10/12/2001	N/A	HTML   ORIG   RTE   PDF   XLS	File
<b>P</b> ) 84.	ALLEGIANCE TELECOM INC Filer: MASSACHUSETTS FINANCIAL SERVICES CO /MA/	SC 13G/A	10/9/2001	N/A	HTML   ORIG   RTF   PDF   XLS	File
P) 85.	ALLEGIANCE TELECOM INC	<u>S-3</u>	10/2/2001	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>(P)</b> 86.	ALLEGIANCE TELECOM INC	<u>S-3</u>	10/2/2001	N/A	PAPER	File
<b>P</b> 87.	ALLEGIANCE TELECOM INC	<u>10-</u> Q	8/14/2001	6/30/2001	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 88.	ALLEGIANCE TELECOM INC	<u>10-Q</u>	8/13/2001	6/30/2001	PAPER	<u>File</u>
<b>(P)</b> 89.	ALLEGIANCE TELECOM INC Filer: MASSACHUSETTS FINANCIAL SERVICES CO /MA/	SC 13 <u>G/</u> A	6/29/2001	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 90.	ALLEGIANCE TELECOM INC	DEF 14A	5/31/2001	7/26/2001	HTML   ORIG   RTF   PDF   XLS	File
<b>(P)</b> 91.	ALLEGIANCE TELECOM INC	PRER14A	5/16/2001	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 92.	ALLEGIANCE TELECOM INC	<u>S-8</u>	5/9/2001	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 93.	ALLEGIANCE TELECOM INC	<u>8-K</u>	5/2/2001	5/2/2001	HTML   ORIG   RTF   PDE   XLS	File
<b>P</b> 94.	ALLEGIANCE TELECOM INC	<u>10-Q</u>	5/2/2001	3/31/2001	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 95.	ALLEGIANCE TELECOM INC	10-K405/A	4/18/2001	12/31/2000	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 96.	ALLEGIANCE TELECOM INC Filer: WEST HIGHLAND CAPITAL INC/LHG/WHP/PB/BP	SC 13G	4/12/2001	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 97.	ALLEGIANCE TELECOM INC	10-K405	3/30/2001	12/31/2000	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> ) 98.	ALLEGIANCE TELECOM INC	REGDEX	3/23/2001	N/A	PAPER	File
<b>P</b> 99.	ALLEGIANCE TELECOM INC	PRE 14A	3/20/2001	5/9/2001	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 100.	ALLEGIANCE TELECOM INC Filer: PUTNAM LLC Filed As: PUTNAM INVESTMENTS INC	SC 13G/A	2/15/2001	N/A	HTML   ORIG   RTF   PDF   XLS	File
<u> </u>	OTTO AN ATTECHMENTO HTO					

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Profile	Company Name	Form Type	Received	Period	Views	Filed Viewed
<b>P</b> 51.	ALLEGIANCE TELECOM INC Reporting Person: YOST CHARLES DANIEL	5_	2/3/2003	6/30/2002	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 52.	ALLEGIANCE TELECOM INC Reporting Person: HOLLAND ROYCE J	<u>5</u>	2/3/2003	6/30/2002	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>P</b> 53.	ALLEGIANCE TELECOM INC Reporting Person: LIPMAN ANDREW D	<u>5</u>	2/3/2003	6/30/2002	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>P</b> 54.	ALLEGIANCE TELECOM INC Reporting Person: HUNDT REED E	<u>5</u>	2/3/2003	6/30/2002	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
P 55.	ALLEGIANCE TELECOM INC Reporting Person: TRESNOWSKI MARK B	<u>5</u>	2/3/2003	6/30/2002	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>(P)</b> 56.	ALLEGIANCE TELECOM INC Filer: ROYCE & ASSOCIATES LLC	<u>SC 13G</u>	1/31/2003	N/A	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
P) 57.	ALLEGIANCE TELECOM INC	424B3	1/14/2003	N/A	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>P</b> 58.	ALLEGIANCE TELECOM INC	POS AM	12/26/2002	N/A	HTML   ORIG   RTF   PDF	<u>File</u>
<b>P</b> 59.	ALLEGIANCE TELECOM INC	POS AM	12/26/2002	N/A	HTML   ORIG   RTF   PDF	<u>File</u>
<b>P</b> ) 60.	ALLEGIANCE TELECOM INC	<u>\$-3</u>	12/19/2002	N/A	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>P</b> 61.	ALLEGIANCE TELECOM INC Reporting Person: MYERS G CLAY	<u>4</u>	12/6/2002	12/4/2002	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>P</b> 62.	ALLEGIANCE TELECOM INC	<u>8-K</u>	11/27/2002	11/27/2002	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>P</b> 63.	ALLEGIANCE TELECOM INC	<u>10-Q</u>	11/14/2002	9/30/2002	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> ) 64.	ALLEGIANCE TELECOM INC	<u>10-Q</u>	8/14/2002	6/30/2002	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>P</b> 65.	ALLEGIANCE TELECOM INC	<u>S-8</u>	7/8/2002	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 66.	ALLEGIANCE TELECOM INC	<u>8-K</u>	6/7/2002	5/31/2002	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 67.	ALLEGIANCE TELECOM INC	SC TO-I/A	6/7/2002	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> ) 68.	ALLEGIANCE TELECOM INC	SC TO-I/A	5/21/2002	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 69.	ALLEGIANCE TELECOM INC	<u>10-Q</u>	5/15/2002	3/31/2002	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>P</b> 70.	ALLEGIANCE TELECOM INC	POS AM	5/8/2002	N/A	HTML   ORIG   RTF   PDF	<u>F</u> ile
P) 71.	ALLEGIANCE TELECOM INC	<b>DEF 14A</b>	4/30/2002	6/19/2002	HTML   ORIG   RTF   PDF   XLS	File
P) 72.	ALLEGIANCE TELECOM INC	SC TO-I	4/26/2002	N/A	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
<b>P</b> 73.	ALLEGIANCE TELECOM INC	<u>S-8</u>	4/15/2002	N/A	HTML   ORIG   RTF   PDF   XLS	File
<b>P</b> 74.	ALLEGIANCE TELECOM INC	10-K/A	4/9/2002	12/31/2001	HTML   ORIG   RTF   PDF   XLS	<u>File</u>
P) 75.	ALLEGIANCE TELECOM INC	10-K405	4/1/2002	12/31/2001	HTML   ORIG   RTF   PDF   XLS	<u>File</u>

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Total Partic	ipants = 53		
MPID	Firm Name	Status	MP Type
AANA	ABN Amro Incorporated	Active	M
ARCA	Archipelago, L.L.C.	Excused	С
	•	Withdrawn	
BBNT	SCOTT & STRINGFELLOW, INC.	Active	M
BEST	BEAR, STEARNS & CO. INC.	Active	M
BRUT	Brut, LLC	Excused	С
		Withdrawn	
BTRD	B-Trade Services LLC	Excused	С
		Withdrawn	
BUCK	THE BUCKINGHAM RESEARCH GROUP	Active	M
	INCORPORATED		
CANT	CANTOR FITZGERALD & CO.	Active	M
CIBC	CIBC World Markets Corp.	Active	M
DAIN	RBC Capital Markets Corporation	Active	M
DAVA	Davenport & Company LLC	Active	M
FAHN	Oppenheimer & Co., Inc.	Active	M
FBCO	Credit Suisse First Boston LLC	Active	M
FCAP	First Union Capital Markets Corp.	Active	M
FCAP	Wachovia Capital Markets, LLC	Active	M
FLTT	FLEET TRADING/A DIVISION OF FLEET	Active	M
	SECURITIES	1101110	•••
GKMC	Harris Nesbitt Corp.	Active	M
GSCO	GOLDMAN, SACHS & CO.	Active	M
HOAK	HOAK BREEDLOVE WESNESKI & CO.	Active	M
HRZG	Herzog, Heine, Geduld, LLC	Active	M
INCA	Instinet Corporation	Active	C
INGC	ABN Amro Incorporated	Active	M
ISLD	Inet ATS, Inc.	Active	C
JEFF	JEFFERIES & COMPANY, INC.	Active	M
JPHQ	J.P. Morgan Securities Inc.	Active	M
KBRO	KAUFMAN BROS., L.P.	Active	M
KCMO	Security Investment Company of Kansas City	Active	M
LEHM	LEHMAN BROTHERS INC.	Active	M
LYON	Calyon Securities (USA) Inc.	Active	M
MASH	SCHWAB CAPITAL MARKETS L.P.	Active	M
MDLD	McDonald & Company Securities, Inc.	Active	M
MHMY	Crown Financial Group, Inc.	Active	M
MKXT	Marketxt, Inc.	Excused	C
		Withdrawn	C
MLCO	MERRILL LYNCH, PIERCE, FENNER & SMITH	Active	М
	INCORPORATED	7101110	111
MWSE	Chicago Stock Exchange	Active	M
NDBC	Deutsche Ixe, LLC	Active	M
NFSC	NATIONAL FINANCIAL SERVICES LLC	Active	M
NITE	Knight Equity Markets, L.P.	Active	M
PERT	PERSHING TRADING COMPANY, L.P.	Active	M
PTRS	Peters Securities Co. L.P.	Active	M
RAJA	RAYMOND JAMES & ASSOCIATES, INC.	Active	M
REDI	REDIBook ECN LLC	Active	C
RSSF	Robertson Stephens, Inc.	Active	M
SBSH	Citigroup Global Markets Inc.	Active	M
SLKC	Spear Leeds & Kellogg Capital Markets	Active	M
<del>-</del>	- F	. 100110	171



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Report Date = 03/30/2001 Issue = ALGX-

Total Latti	olpanis – 50		
MPID	Firm Name	⊹ Status	MP Type
SMHI	Sanders Morris Mundy Inc.	Active	M
SOCO	HIBERNIA SOUTHCOAST CAPITAL, INC.	Active	M
SPHN	STEPHENS INC.	Active	M
SWST	Southwest Securities, Inc.	Active	M
TWPT	Thomas Weisel Partners LLC	Active	M
WACM	Wachovia Securities, Inc.	Active	M
WARR	UBS Securities, LLC	Active	M
WEED	WEEDEN & CO.L.P.	Active	M



Report Date = 06/29/2001 Issue = ALGX-

Total Participants = 53				
MPID	Firm Name	Status	MP Type	
		<u> </u>		
ABNA	ABN Amro Incorporated	Active	M	
AGED	A. G. EDWARDS & SONS, INC.	Active	M	
ARCA	Archipelago, L.L.C.	Excused	С	
		Withdrawn		
BBNT	SCOTT & STRINGFELLOW, INC.	Active	M	
BEST	BEAR, STEARNS & CO. INC.	Active	M	
BRUT	Brut, LLC	Excused	С	
		Withdrawn		
BTRD	B-Trade Services LLC	Excused	С	
		Withdrawn		
CANT	CANTOR FITZGERALD & CO.	Active	M	
CIBC	CIBC World Markets Corp.	Active	M	
DAIN	RBC Capital Markets Corporation	Active	M	
DAVA	Davenport & Company LLC	Active	M	
FAHN	Oppenheimer & Co., Inc.	Active	NR	
FBCO	Credit Suisse First Boston LLC	Active	M	
FBRC	FRIEDMAN, BILLINGS, RAMSEY & CO., INC.	Active	M	
FCAP	First Union Capital Markets Corp.	Active	M	
FCAP	Wachovia Capital Markets, LLC	Active	M	
FLTT	FLEET TRADING/A DIVISION OF FLEET	Active	M	
	SECURITIES			
GKMC	Harris Nesbitt Corp.	Active	M	
GSCO	GOLDMAN, SACHS & CO.	Active	M	
HRZG	Herzog, Heine, Geduld, LLC	Active	M	
INCA	Instinet Corporation	Active	C	
ISLD	Inet ATS, Inc.	Active	č	
JEFF	JEFFERIES & COMPANY, INC.	Active	M	
JPHQ	J.P. Morgan Securities Inc.	Active	M	
KBRO	KAUFMAN BROS., L.P.	Active	M	
LEHM	LEHMAN BROTHERS INC.	Active	M	
LYON	Calyon Securities (USA) Inc.	Active	M	
MDLD	McDonald & Company Securities, Inc.	Active	M	
MHMY	Crown Financial Group, Inc.	Active	M	
MKXT	Marketxt. Inc.	Excused	C	
		Withdrawn		
MLCO	MERRILL LYNCH, PIERCE, FENNER & SMITH	Active	M	
	INCORPORATED			
MWSE	Chicago Stock Exchange	Active	M	
NDBC	Deutsche Ixe, LLC	Active	M	
NFSC	NATIONAL FINANCIAL SERVICES LLC	Active	M	
NITE	Knight Equity Markets, L.P.	Active	M	
PERT	PERSHING TRADING COMPANY, L.P.	Active	M	
PRUS	Prudential Equity Group, Inc.	Active	M	
RAJA	RAYMOND JAMES & ASSOCIATES, INC.	Active	M	
REDI	REDIBook ECN LLC	Active	C	
RSSF	Robertson Stephens, Inc.	Active	M	
SBSH	Citigroup Global Markets Inc.	Active	M	
SCHB	SCHWAB CAPITAL MARKETS L.P.	Active	M	
SLKC	Spear Leeds & Kellogg Capital Markets	Active	M	
SMHI	Sanders Morris Mundy Inc.	Active	M	
SOCO	HIBERNIA SOUTHCOAST CAPITAL, INC.	Active	M	
SPHN	STEPHENS INC.	Active	M	
			<del>-</del>	



Filed 10/04/2004

Report Date = 06/29/2001 Issue = ALGX-

1 Ulai FaillU	pants - Jo		
MPID	Firm Name	Status	MP Type
SUSQ	SUSQUEHANNA CAPITAL GROUP	Active	M
SWST	Southwest Securities, Inc.	Active	M
TWPT	Thomas Weisel Partners LLC	Active	M
UBSW	UBS Securities, LLC	Active	M
WACM	Wachovia Securities, Inc.	Active	M
WBLR	WILLIAM BLAIR & COMPANY L.L.C.	Active	M
WEED	WEEDEN & CO.L.P.	Active	M



Report Date = 09/28/2001 Issue = ALGX-

Total Participa	ants = 57		
MPID	Firm Name	Status	MP Type
			333333333333333333333333333333333333333
ABNA	ABN Amro Incorporated	Active	M
AGED	A. G. EDWARDS & SONS, INC.	Active	M
ARCA	Archipelago, L.L.C.	Excused	С
		Withdrawn	
BAMM	BrokerageAmerica, LLC	Active	M
BARD	ROBERT W. BAIRD & CO. INCORPORATED	Active	M
BBNT	SCOTT & STRINGFELLOW, INC.	Active	M
BEST	BEAR, STEARNS & CO. INC.	Active	M
BRUT	Brut, LLC	Excused	C
		Withdrawn	
BTRD	B-Trade Services LLC	Excused	С
		Withdrawn	· ·
CIBC	CIBC World Markets Corp.	Active	M
DAIN	RBC Capital Markets Corporation	Active	M
DAVA	Davenport & Company LLC	Active	M
DBAB	DEUTSCHE BANK SECURITIES INC.	Active	M
FAHN	Oppenheimer & Co., Inc.	Active	NR
FBCO	Credit Suisse First Boston LLC	Active	M
FBRC	FRIEDMAN, BILLINGS, RAMSEY & CO., INC.	Active	M
FCAP	First Union Capital Markets Corp.	Active	M
FCAP	Wachovia Capital Markets, LLC	Active	M
FLTT	FLEET TRADING/A DIVISION OF FLEET	Active	M
	SECURITIES	710070	141
GKMC	Harris Nesbitt Corp.	Active	M
GSCO	GOLDMAN, SACHS & CO.	Active	M
HRZG	Herzog, Heine, Geduld, LLC	Active	M
INCA	Instinet Corporation	Active	C
ISLD	Inet ATS, Inc.	Active	Č
JEFF	JEFFERIES & COMPANY, INC.	Active	M
JPHQ	J.P. Morgan Securities Inc.	Active	M
KBRO	KAUFMAN BROS., L.P.	Active	M
LEHM	LEHMAN BROTHERS INC.	Active	M
LYON	Calyon Securities (USA) Inc.	Active	M
MDLD	McDonald & Company Securities, Inc.	Active	M
MHMY	Crown Financial Group, Inc.	Active	M
MKXT	Marketxt. Inc.	Active	C
MONT	Banc of America Securities LLC	Active	M
MWSE	Chicago Stock Exchange	Active	M
NDBC	Deutsche Ixe, LLC	Active	M
NFSC	NATIONAL FINANCIAL SERVICES LLC	Active	M
NITE	Knight Equity Markets, L.P.	Active	M
NTRD	Nextrade	Active	C
PERT	PERSHING TRADING COMPANY, L.P.	Active	M
PRUS	Prudential Equity Group, Inc.	Active	M
RAJA	RAYMOND JAMES & ASSOCIATES, INC.	Active	M
RAMS	Ramius Securities, L.L.C.	Active	M
REDI	REDIBook ECN LLC	Active	C
RSSF	Robertson Stephens, Inc.	Active	M
SBSH	Citigroup Global Markets Inc.	Active	M
SCHB	SCHWAB CAPITAL MARKETS L.P.	Active	M
SLKC	Spear Leeds & Kellogg Capital Markets	Active	M
SMHI	Sanders Morris Mundy Inc.	Active	M
			474



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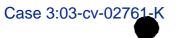
Total Farticipants = 37			
MPID	Firm Name	Status	MP Type
SOCO	HIBERNIA SOUTHCOAST CAPITAL, INC.	Active	M
SPHN	STEPHENS INC.	Active	M
SUSQ	SUSQUEHANNA CAPITAL GROUP	Active	M
SWST	Southwest Securities, Inc.	Active	M
TWPT	Thomas Weisel Partners LLC	Active	M
UBSW	UBS Securities, LLC	Active	M
WACM	Wachovia Securities, Inc.	Active	M
WBLR	WILLIAM BLAIR & COMPANY L.L.C.	Active	M
WEED	WEEDEN & CO.L.P.	Active	M



Filed 10/04/2004

Report Date = 12/31/2001 Issue = ALGX-

Total Participa	ants = 59		
MPID	Firm Name	Status	MP Type
ABNA	ABN Amro Incorporated	Active	M
AGED	A. G. EDWARDS & SONS, INC.	Active	M
ARCA	Archipelago, L.L.C.	Excused	C
		Withdrawn	
BAMM	BrokerageAmerica, LLC	Active	M
BARD	ROBERT W. BAIRD & CO. INCORPORATED	Active	M
BBNT	SCOTT & STRINGFELLOW, INC.	Active	M
BEST	BEAR, STEARNS & CO. INC.	Active	M
BRUT	Brut, LLC	Excused	C
		Withdrawn	
BTRD	B-Trade Services LLC	Active	C
CANT	CANTOR FITZGERALD & CO.	Active	M
CIBC	CIBC World Markets Corp.	Active	M
DAIN	RBC Capital Markets Corporation	Active	M
DBAB	DEUTSCHE BANK SECURITIES INC.	Active	M
ERNS	INVESTEC ERNST & COMPANY	Active	M
FAHN	Oppenheimer & Co., Inc.	Active	NR
FBCO	Credit Suisse First Boston LLC	Active	M
FBRC	FRIEDMAN, BILLINGS, RAMSEY & CO., INC.	Active	M
FLTT	FLEET TRADING/A DIVISION OF FLEET	Active	M
	SECURITIES		
GKMC	Harris Nesbitt Corp.	Active	M
GROW	Pacific Growth Equities, LLC	Active	M
GSCO	GOLDMAN, SACHS & CO.	Active	M
HILL	Hill Thompson Magid and Co., Inc.	Active	M
HRZG	Herzog, Heine, Geduld, LLC	Active	M
INCA	Instinet Corporation	Active	С
ISLD	Inet ATS, Inc.	Active	С
JEFF	JEFFERIES & COMPANY, INC.	Active	M
JPHQ	J.P. Morgan Securities Inc.	Active	M
KBRO	KAUFMAN BROS., L.P.	Active	M
LAZA	LAZARD FRERES & CO. LLC	Active	M
LEHM	LEHMAN BROTHERS INC.	Active	M
LYON	Calyon Securities (USA) Inc.	Active	M
MDLD	McDonald & Company Securities, Inc.	Active	M
MHMY	Crown Financial Group, Inc.	Active	M
MKXT	Marketxt, Inc.	Excused	C
	· · · · · · · · · · · · · · · · · · ·	Withdrawn	· ·
MLCO	MERRILL LYNCH, PIERCE, FENNER & SMITH	Active	M
	INCORPORATED		***
MONT	Banc of America Securities LLC	Active	M
NDBC	Deutsche Ixe, LLC	Active	M
NFSC	NATIONAL FINANCIAL SERVICES LLC	Active	M
NITE	Knight Equity Markets, L.P.	Active	M
PERT	PERSHING TRADING COMPANY, L.P.	Active	M
PIPR	U.S. BANCORP PIPER JAFFRAY INC.	Active	M
PRUS	Prudential Equity Group, Inc.	Active	M
RAJA	RAYMOND JAMES & ASSOCIATES, INC.	Active	M
RAMS	Ramius Securities, L.L.C.	Active	M
REDI	REDIBook ECN LLC	Active	C
RSSF	Robertson Stephens, Inc.	Active	M
SBSH	Citigroup Global Markets Inc.	Active	M
, —	O	- 1001 10	ATA





Filed 10/04/2004

Report Date = 12/31/2001 Issue = ALGX-

MPID Firm Name Status MP Type			
Firm Name	Status	MP Type	
SCHWAB CAPITAL MARKETS L.P.	Active	M	
Spear Leeds & Kellogg Capital Markets	Active	M	
Sanders Morris Mundy Inc.	Active	M	
HIBERNIA SOUTHCOAST CAPITAL, INC.	Active	M	
STEPHENS INC.	Active	M	
SUSQUEHANNA CAPITAL GROUP	Active	M	
Southwest Securities, Inc.	Active	M	
Thomas Weisel Partners LLC	Active	M	
UBS Securities, LLC	Active	M	
WILLIAM BLAIR & COMPANY L.L.C.	Active	M	
Wachovia Capital Markets, LLC	Active	M	
WEEDEN & CO.L.P.	Active	M	
	SCHWAB CAPITAL MARKETS L.P. Spear Leeds & Kellogg Capital Markets Sanders Morris Mundy Inc. HIBERNIA SOUTHCOAST CAPITAL, INC. STEPHENS INC. SUSQUEHANNA CAPITAL GROUP Southwest Securities, Inc. Thomas Weisel Partners LLC UBS Securities, LLC WILLIAM BLAIR & COMPANY L.L.C. Wachovia Capital Markets, LLC	SCHWAB CAPITAL MARKETS L.P. Active Spear Leeds & Kellogg Capital Markets Active Sanders Morris Mundy Inc. Active HIBERNIA SOUTHCOAST CAPITAL, INC. Active STEPHENS INC. Active SUSQUEHANNA CAPITAL GROUP Active Southwest Securities, Inc. Active Thomas Weisel Partners LLC Active UBS Securities, LLC Active WILLIAM BLAIR & COMPANY L.L.C. Active Wachovia Capital Markets, LLC Active	



Report Column (.rtf)	ASCII Column (.txt)	<u>Descriptions</u>
Symbol	Symbol	Trading symbol of the security
Security Name	Security Name	Name of the security
Market Category	Market Category	Q = Nasdaq NNM S = Nasdaq Small Cap U = OTCBB u = Other OTC I = Exchange-Listed Issues
MP Status	MP Status	The status of the MP position in the security
		A = Active E = Excused Withdrawn F = Functionally Excused
MP Type	МР Туре	The type of MP position. Allowed values:
		C = Electronic Communication Network (ECN) M = Registered Market Maker NR = Non Registered MP, generally Order Entry Only)
Summary Fields Report Date	Report Date	The Trading Date requested by the user.
MPID	MPID	MP Identifier
Total Issues/Total Market Participants	Total Issues/Total Market Participants	The number of Issues a Market Participant is registered in. The total number of Market Participants registered in the issue.
MPID based query only: Number of NNM Issues	MPID based query only: Number of NNM Issues	MPID based query only: The number of Issues that are NNM that an MP is registered in.
Number of Small Cap	Number of Small Cap	The number of Issues that are SmallCap that an MP is registered in.
Number of Exchange-Listed Issues	Number of Exchange-Listed Issues	The number of Issues that are CQS that an MP is registered in.

# **Exhibit G**

Regression Equation - Allegiance Telecom vs. Bloomberg Telecom Services and Nasdaq Composite daily returns 4/24/00 - 4/23/01

Regression Statistics	ics
Multiple R	0.6225
R Square	0.3875
Adjusted R Square	0.3825
Standard Error	0.0605
Observations	252

ANOVA				
	σf	SS	MS	Ŧ
Regression	2	0.5758	0.2879	78.7541
Residual	249	0.9103	0.0037	
Total	251	1.4860		
	Coefficients	Standard Error	t Stat	P-value
Intercept	0.0002	0.0038	0.0611	0.9513
BTSI	0.9189	0.2241	4.1003	0.0001
NCI	1.0211	0.1467	6.9611	0.0000

Case 3:03-cv-02761-K

					ALGX	BTSI	Ö	Predicted	"Abnormal"	
Event	Date	ALGX	BTSI	Ş	% Return	% Return	% Return		Return	T-Stat
	23-Jul-01 \$	11.15	64.51	1,988.56	-9.1%	-1.6%		-3.5%	-5.6%	-0.924
	24-Jul-01 \$	10.90	62.85	1,959.24	-2.2%	-2.6%		-3.8%	1.6%	0.267
ALGX 2Q earnings announcement	25-Jul-01 \$	13.08	64.77	1,984.32	20.0%	3.1%	1.3%	4.1%	15.9%	2.624
1	26-Jul-01 \$	13.79	65.80	2,022.96	5.4%	1.6%		3.5%	2.0%	0.323
	27-Jul-01 \$		90.99	2,029.07	2.2%	0.4%		0.7%	1.6%	0.257
	22-Oct-01 \$	4.94	57.64	1,708.08	-13.0%	-1.8%	2.2%	%9'0	-13.7%	-2.258
	23-Oct-01 \$	5.21	56.06	1,704.44	5.5%	-2.7%	-0.2%	-2.7%	8.2%	1.353
ALGX 3Q earnings announcement	24-Oct-01 \$	6.74	55.94	1,731.54	29.4%	-0.2%	1.6%	1.5%	27.9%	4.617
	25-Oct-01 \$	6.91	55.71	1,775.47	2.5%	-0.4%	2.5%	2.2%	0.3%	0.047
	26-Oct-01 \$		56.55	1,768.96	0.7%	1.5%	-0.4%	1.0%	-0.3%	-0.051
ALGX 4Q and 2001 earnings	15-Feb-02 \$		48.61	1,805.20	-10.7%	-1.2%	-2.1%	-3.2%	-7.4%	-1.229
announcement and subscriber	19-Feb-02 \$	3.70	47.81	1,750.61	5.1%	-1.6%	-3.0%	-4.6%	9.7%	1.603
restatement	20-Feb-02 \$	2.65	48.94	1,775.57	-28.4%	2.4%	1.4%	3.7%	-32.0%	-5.297
	21-Feb-02 \$	2.46	47.31	1,716.24	-7.2%	-3.3%	-3.3%	-6.4%	-0.7%	-0.119
	22-Feb-02 \$		48.12	1.724.54	-3.3%	1.7%	0.5%	2.1%	-5.3%	-0.884

60.b 50.0% Allegiance Daily Returns vs. One-day Lag Returns January 1999 – April 2002 40.0% 30.0% Exhibit I 30.0% -30.0% 50.0% 40.0% -20.0% -30.0%

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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

## FORM 10-K

M ANNUAL REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

FOR THE FISCAL YEAR ENDED DECEMBER 31, 2001

OR

☐ TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

FOR THE TRANSITION PERIOD FROM TO .

**COMMISSION FILE NUMBER: 0-24509** 

# ALLEGIANCE TELECOM, INC.

(Exact Name of Registrant as Specified in its Charter)

DELAWARE (State of Incorporation) 75-2771491

(State of Incorporation)

9201 NORTH CENTRAL EXPRESSWAY
DALLAS, TEXAS
(Address of Principal Executive Offices)

**75231** (Zrp Code)

(JRS Employer Identification No.)

(214) 261-7100

Registrant's Telephone Number, Including Area Code

## NONE

Securities Registered Pursuant to Section 12(b) of the Act

# COMMON STOCK, PAR VALUE \$.01, QUOTED ON THE NASDAQ NATIONAL MARKET

Securities Registered Pursuant to Section 12(g) of the Act

Indicate by check mark whether Allegiance Telecom (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that it was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. Yes 

No 

No

Based on the closing sales price on the Nasdaq National Market on March 27, 2002 of \$3.12, the aggregate market value of our voting stock held by non-affiliates on such date was approximately \$259.8 million. Shares of common stock held by directors and by each person who owns or may be deemed to own 10% or more of our outstanding common stock have been excluded, since such persons may be deemed to be affiliates. This determination of affiliate status is not necessarily a conclusive determination for other purposes. As of March 27, 2002, Alleglance Telecom, Inc. had 116,023,655 shares of common stock issued and outstanding

#### **DOCUMENTS INCORPORATED BY REFERENCE**

Portions of Allegrance Telecom's definitive provy statement for the annual meeting of stockholders for the fiscal year crided

December 31, 2001, which will be filed with the SEC by April 30, 2002, are incorporated by reference into Part III of this Form 10-K.
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TABLE OF CONTENTS

TO
ALLEGIANCE TELECOM, INC.'S ANNUAL REPORT ON FORM 10-K
FOR THE YEAR ENDING DECEMBER 31, 2001

PAGE

- leasing local loop lines which connect our customers to our network, and
- leasing space in incumbent local carrier central offices for collocating our transmission equipment

We are headquartered in Dallas, Texas and lease offices and space in a number of locations, primarily for sales offices and network equipment installations. We own a parcel of land in Dallas, Texas, which is located next to our headquarters

We believe that our leased facilities are adequate to meet our current needs in our operational markets, and that additional facilities are available to meet our development and expansion needs in existing and projected target markets for the foreseeable future.

#### ITEM 3. Legal Proceedings

We are not party to any legal proceeding that we believe would, individually or in the aggregate, have a material adverse effect on our financial condition or results of operations.

#### ITEM 4. Submission of Matters to a Vote of Security Holders

We did not submit any matter to a vote of our stockholders during the fourth quarter of 2001,

#### PART II

#### ITEM 5. Market for Allegiance Telecom's Common Stock and Related Stockholder Matters

#### Market Information

Our common stock is listed on the Nasdaq National Market. Our ticker symbol is "ALGX." We completed the initial public offering of our common stock in July 1998. Prior to July 1, 1998, no established public trading market for the common stock existed.

The following table sets forth on a per share basis, the high and low sale prices per share for our common stock as reported on the Nasdag National Market for the periods indicated:

		HIGH		LÓW
	700 0.000	*********	··· ··	
Year ended December 31, 2000:				
Hirst quarter	\$	110.0800	\$	60.6700
Second quarter		80 2500		45.0000
Third quarter		77.8750		32.7500
Fourth quarter		41.5000		12.8125
Year ended December 31, 2001:				
First quarter		40.0000		12.4375
Second quarter		22,1000		8 5000
Third quarter		15.8000		2.8000
Fourth quarter		9.8500		2.7400

The prices above have been restated to reflect our 3-for-2 stock split, in the form of a 50% stock dividend, effected on February 28, 2000

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#### Stockholders

There were 248 owners of record of Allegiance common stock as of March 27, 2002. This number excludes stockholders whose stock is held in nominee or street name by brokers and we helieve that we have a significantly larger number of beneficial holders of common stock. A recent reported closing price of our common stock on the Nasdaq National Market is set forth on the front cover of this report.

#### Dividends

We have never paid any cash dividends and we do not anticipate paying any cash dividends in the foreseeable future. Any future determination to pay dividends will be at the discretion of our board of directors and will be dependent upon then existing conditions, including our financial condition, results of operations, contractual restrictions, capital requirements, business prospects, and other factors our board of directors deems relevant. In addition, our current financing arrangements effectively prohibit us from paying cash dividends for the foreseeable future. Our senior credit facilities prohibit us from paying cash dividends on our common stock and our indentures limit our ability to pay cash dividends on our common stock.

#### Recent Sales of Unredistered Securities

On March 9, 2001, we acquired Adgraftx Corporation through a subsidiary merger, and a portion of the consideration for Adgraftx Corporation was 876,256 shares of our common stock. The shares issued in this transaction are subject to transfer restrictions imposed by the securities laws which are noted on the applicable stock certificates. This transaction was exempt from registration under the Securities Act pursuant to Regulation D of that act.

On March 13, 2001, we acquired certain assets of HarvardNet, Inc. and a portion of the consideration for these assets was 1,721,771 shares of our common stock. The shares issued in this transaction are subject to transfer restrictions imposed by the securities laws which are noted on the applicable stock certificates. This transaction was exempt from registration under the Securities Act pursuant to Regulation D of

On August 24, 2001, we acquired Coast to Coast Telecommunications, Inc. through a subsidiary merger and a portion of the

consideration for these assets was 1,380,644 shares of our common stock (52,934 of these shares are currently being retained by us in "holdback" under the terms of the merger agreement). This merger agreement provides for additional contingent consideration based on the settlement of certain vendor payables. The shares issued in this transaction are subject to transfer restrictions imposed by the securities laws which are noted on the applicable stock certificates. This transaction was exempt from registration under the Securities Act pursuant to Section 4(2) of that act.

#### ITEM 6. Selected Financial Data

#### Selected Financial Data (dollars in thousands, except share and per share information)

The selected consolidated financial data presented below us of and for the years ended December 31, 2001, 2000, 1999 and 1998, and for the period from inception (April 22, 1997) through December 31, 1997, were derived from our audited consolidated financial statements and should be

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read in conjunction with "Management's Discussion and Analysis of Financial Condition and Results of Operations" and audited financial statements and the notes thereto contained elsewhere in this report

	As of December 31,									
	~~~	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	^^~ .	three in the contract of the	*****	•8 8 8 8 • COMMON 11 1	4	•		
Balance Sheet Data:		2001		2000		1999		1998		1997
we are given a complete or a contract of the c	4 1		+0.00.00		*** **	**********	***		*	
Cash and cash equivalents	ŝ	374,084	\$	396,103	\$	502,234	\$	262,502	\$	5,726
Short-term investments	-	25,232		261.856		23,783		143,390		_
Short-term Investments, restricted(1)		_		12,952		25,518		25,543		_
Working capital(2)		426,932		618,255		484,458		367,492		2,046
Property and equipment, net of accumulated		- ,		,						•
depreciation		1,016,250		744,903		377,413		144,850		23,900
Long-term investments, restricted(1)		954		829		13,232		36,699		· —
Total assets		1,774,843		1,668,839		1,033,875		637,874		30,047
Long-term debt		1.013.184		566,312		514,432		4/1,652		,
Redeemable cumulative convertible proferred		,		<b>,</b>				•		
stock				_						33,409
Redeemable warrants		_		_				8,634		, <u>-</u>
Stockholders' equity (deficit)		608,076		958,485		443,615		110,430		(7,292)

Statement of Operations Data:	Year Ended December 31, 2001	Year Ended December 31, 2000	Year Ended December 31, 1999	Year Ended December 31, 1998	Inception (April 22, 1997) Through December 31, 1997
bond and a system condition of the condi	* 2 - ADD 1 - AQD 1 -	A 10 of some years, someone	1,0 2 24 A-7 AMO VA VI V.V/A	***************************************	MATERIAL STATE OF THE STATE OF
Revenues	\$ 516,888	\$ 285,227	\$ 99,061	s 9,786 9,529	s – 151
Network expenses Selling, general and administrative	251,/34	150,718	62,542	9,529	151
expenses	377.387	252,368	140.745	46,089	3.426
Depreciation and amortization expense	256,685	130,876	55,822	9,003	12
Management ownership allocation	,		,	·	
charge	175	6,480	18,789	167,312	
Non-cash deferred compensation					
expense	4,176	10,127	7,851	°1,.30/	210
	*** ***********************************	**********************	*****	***************************************	***************************************
Loss from operations	(2/2,219)	(265,292)	(1R0'0RR)	(227,454)	(3,800)
Interest income	15,665	56,969	31,354	19,918	112
Interest expense	(74,259)	(69,214)	(59,404)	(38,952)	_
		***************************************	***************************************	***********	Management of the Company of the Com
Net loss	(431,813)	(277,567)	(214,738)	(246,488)	(2,688)
Accretion of redeemable preferred stock			·		
and warrant values	_	_	(130)	(11,977)	(3,814)
	**********	* ************	*******	***	*** * * * * * * * * * * * * * * * * * *
Not loss applicable to common stock	\$ (431,813)	\$ (277,567)	\$ (214,868)	\$ (258,460)	\$ (7,502)
	the second are a consistent	******	the even company was est	the exempto executions according	general and a comment
Net loss per share, basic and diluted(3)	s (3.82)	\$ (2.58)	\$ (2.37)	\$ (7.02)	\$ (11,740 22)
* * * * * * * * * * * * * * * * * * * *	£01\(co.co.co.co.co.co.co.co.co.co.co.co.co.c	0300,0300 01000,0,0301733330303	010.0.0.00000000.0000000000000000000000	999691998 99 163044 A 1 4 1 4 1 1 1 1	
Weighted average number of shares					
outstanding, basic and diluted(3)	114,115,871	107,7/3,112	90,725,717	36,825,519	636
· · · · · · · · · · · · · · · · · · ·					
Other Financial Date:					
Adjusted EBITDA(4)	\$ (112,233)	\$ (117,859)	\$ (104,226)	5 (45,832)	5 (3,577)
Net cash used in operating activities	(215,636)	(102,552)		(20,697)	(1,943)
Net cash used in investing activities	(157,584)	(716,708)		(315,743)	(21,926)
Net cash provided by financing activities	351.501	713.129	503,432	593,216	29,595
Capital expenditures	(364,396)	(445,183)		(113,539)	(21,926)
Gross marqin(5)	51.39				
				=	

Reflects the purchase of U.S. government securities which were placed in a pledge account to fund the first three years' interest payments on the 12' /8% senior notes due 2008. The first semi-annual installment was paid in November 1998. The securities are stated at their accreted value, which approximates fair value, and are classified as either short term or long-term based upon their respective maturity dates.

000081

(2)

Period From

Working capital was calculated as total current assets, less restricted short-term investments, less total current liabilities

There were no reports filed during the three months ended December 31, 2001.

STGNATURE

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#### SIGNATURES

Pursuant to the requirements of Section 13 or 15(d) of the Securities Exchange act of 1934, Allegiance Telecom, Inc. has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on April 1, 2002.

ALLEGIANCE TELECOM, INC.

Bv:

/s/ ROYCE 1. HOLLAND

Royce 1. Holland, Chairman of the Board and Chief Executive Officer

#### **POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS, that each person whose signature appears below constitutes and appoints Mark B. Tresnowski and Annic S. Terry, and each of them, each with full power to act without the other, his true and lawful attorneys-in-fact and agents, each with full power of substitution and resubstitution, for such person and in his name, place and stead, in any and all capacities, to sign any and all amendments to this report and to file the same, with all exhibits thereto, and other documents in connection therewith, with the Securities and Exchange Commission, granting unto each of said attorneys-in-fact and agents full power and authority to do and perform each and every act and thing requisite and necessary to be done in and about the premises, as fully as to all intents and purposes as he might or could do in person, hereby ratifying and confirming all that each of said attorneys-in-fact and agents, or his or her substitutes, may lawfully do or cause to be done by virtue hereof

Pursuant to the requirements of the Securities Exchange Act of 1934, this report has been signed by the following persons on behalf of Allegiance Telecom, Inc. and in the capacities indicated on April 1, 2002.

CAPACITY

SIGNATURE  ***********************************	CAPACETY
/s/ ROYCE J. HOLLAND	Chairman of the Board and Chief Executive Officer (Principal Executive Officer)
Royce 3. Holland	( <u></u> ,
/s/ C. DANIEL YOST	President, Chief Operating Officer and Director
C. Daniel Yost	
/s/ THOMAS M LORD	Executive Vice President, Chief Financial Officer and Director (Principal Financial Officer)
/s/ G. CLAY MYERS G. Clay Myers	Senior Vice President of Finance and Accounting (Principal Accounting Officer)
/s/ ANTHONY J. PARELLA	51
Anthony 3. Parella	Executive Vice President and Director
/s/ JAMES E. CRAWFORD, III	
James E. Crawford, III	Director
/s/ JOHN B. EHRENKRANZ	
John B. Ehrenkranz	Director
/s/ PAUL J. FINNEGAN	
Paul J. Finnegan	Director
/s/ RICHARD D. FRISBIE	
Richard D. Frisbie	Director 0 0 0 0 8 2

/s/ HOWARD I. HOFFEN	
Howard I Hoffen	Director
/s/ REED E. HUNDT  Reed E Hundt	Director
/s/ ANDREW D. LIPMAN	
Andrew D. Liprnan	Director
/s/ IAMES N. PERRY, JR.	
James N. Perry, Jr.	Director

#### Report of Independent Public Accountants

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To the Board of Directors and Stockholders of Allegrance Telecom, Inc.:

We have audited the accompanying consolidated balance sheets of Allegiance Telecom, Inc. (a Delaware corporation) and subsidiaries (the "Company") as of December 31, 2001 and 2000, and the related consolidated statements of operations, stockholders' equity and cash flows for the years ended December 31, 2001, 2000, and 1999. These financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on these linancial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Allegiance Telecom, Inc. and its subsidiaries as of December 31, 2001 and 2000, and the results of their operations and their cash flows for the years ended December 31, 2001, 2000, and 1999, in conformity with accounting principles generally accepted in the United States

ARTHUR ANDERSEN LLP

Dallas, Texas, February 19, 2002

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#### ALLEGIANCE TELECOM, INC. AND SUBSIDIARIES

#### CONSOLIDATED BALANCE SHEETS

As of December 31, 2001 and 2000

(in thousands, except share and per share data)

	2001	2000
ASSETS	4	h
CURRENT ASSETS:		
Cash and cash equivalents Short-term investments Short-term investments, restricted Accounts receivable (net of allowance for doubtful accounts of \$29,621 and \$12,638,	\$ 374,084 25,232 —	
respectively)	141,684	93,803
Prepaid expenses and other current assets	25,406	5,563
Total current assets	566,406	770,2//
PROPERTY AND EQUIPMENT		
Property and equipment	1,366,710	907,182
Accumulated depreciation	(350,460)	(162,279)
	Minister Cont. Cont. Controller	wantana naa toldoo
Property and equipment, net	1,016,250	744,903
DEFERRED DEBT ISSUANCE COSTS (net of accumulated amortization of \$11,870 and \$7,048, respectively)	19,039	23,710

# GLANCY BINKOW & GOLDBERG LLP

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**ATTORNEYS AT LAW** 

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## FIRM RESUME

Glancy Binkow & Goldberg LLP has represented investors and consumers in federal and state courts throughout the United States for over fourteen years. Based in Los Angeles, California and with offices in New York, New York and San Francisco, California, Glancy Binkow & Goldberg has developed expertise prosecuting securities fraud, antitrust and complex commercial litigation. As Lead Counsel or as a member of Plaintiffs' Counsel Executive Committees, Glancy Binkow & Goldberg has recovered in excess of \$1 billion for parties wronged by corporate fraud and malfeasance. The firm's efforts on behalf of individual investors have been the subject of articles in such publications as The Wall Street Journal, The New York Times and The Los Angeles Times.

Appointed as Lead or Co-Lead Counsel by federal judges throughout the United States, Glancy Binkow & Goldberg has achieved significant recoveries for class members, including:

<u>In re ECI Telecom Ltd. Securities Litigation</u>, USDC Eastern Virginia Civil Action No. 01-913-A, in which Glancy Binkow & Goldberg served as sole Lead Counsel and recovered almost \$22 million for defrauded ECI investors.

In re Musicmaker.com Securities Litigation, USDC C.Cal. 00-02018, a securities fraud class action in which Glancy Binkow & Goldberg was sole Lead Counsel for the Class and recovered in excess of \$13 million.

In re ESC Medical Systems, Ltd. Securities Litigation, USDC Southern New York 98 Civ. 7530, a securities fraud class action in which Glancy Binkow & Goldberg served as sole Lead Counsel for the Class and achieved a settlement valued in excess of \$17 million.

In re Lason, Inc. Securities Litigation, USDC Eastern Michigan 99 76079, in which Glancy Binkow & Goldberg was Co-Lead Counsel and recovered almost \$13 million for defrauded Lason stockholders.

In re Inso Corp. Securities Litigation, USDC Massachusetts 99 10193, a securities fraud class action in which Glancy Binkow & Goldberg served as Co-Lead Counsel for the Class and achieved a settlement valued in excess of \$12 million.

<u>In re National TechTeam Securities Litigation</u> USDC Eastern Michigan 97-74587, a securities fraud class action in which Glancy Binkow & Goldberg served as Co-Lead Counsel for the Class and achieved a settlement valued in excess of \$11 million.

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Glancy Binkow & Goldberg filed the initial landmark antitrust lawsuit against all of the major NASDAQ market makers and served on Plaintiffs' Counsel's Executive Committee in In re Nasdaq Market-Makers Antitrust Litigation, USDC Southern District of New York, 94 C 3996 (RWS), MDL Docket No. 1023, which recovered \$900 million for investors in numerous heavily traded Nasdaq issues.

In addition, Glancy Binkow & Goldberg serves as Class Counsel in <u>In Re Real Estate</u> <u>Associates Limited Partnership Litigation</u>, USDC Central California, 98-7035 DDP, in which plaintiffs' Counsel achieved a \$184 million jury verdict after a complex six week trial in Los Angeles, California and later settled the case for \$83 million.

The firm currently serves as Lead or Co-Lead Counsel in numerous securities fraud and consumer fraud actions throughout the United States, including, among others:

<u>In re Infonet Services Corporation Securities Litigation,</u> USDC Central California, Case No. CV 01-10456 NM (CWx)

In re Gilat Satellite Networks, Ltd. Sec. Litig., USDC Eastern New York, Case No. 02-1510 CPS

In re Lumenis, Ltd. Sec. Litig., USDC Southern New York, Case No.02-CV-1989 DAB

In re Amdocs Ltd. Sec. Litig., USDC Eastern Missouri, Case No. 02CV950 HEA

Payne v. IT Group, Inc., USDC Western Pennsylvania, Case No. 02-1927

Ree v. Procom Technologies, Inc., USDC Southern New York, Case No. 02CV7613

Capri v. Comerica, Inc., USDC Eastern Michigan, Case No. 02CV60211 MOB

Porter v. Conseco, Inc., USDC Southern Indiana, Case No. 02-1332 SEB

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# In re Livent, Inc. Noteholders Litigation,

USDC Southern New York, Case No. 99 Civ 9425

# Yaldo v. Airtouch Communications,

State of Michigan, Wayne County, Case No. 99-909694-CP

# In re Ramp Networks, Inc. Sec. Litig.,

USDC Northern California, Case No. C-00-3645 JCS

# Tatz v. Nanophase Technologies Corp.,

USDC Northern Illinois, Case No. 01C8440

# Plumbing Solutions Inc. v. Plug Power, Inc.,

USDC Eastern New York, Case No. CV 00 5553 (ERK) (RML)

# In re Simon Transportation Services, Inc. Securities Litigation,

USDC Utah, Case No. 2:98 CV 0863 K

# In re Champion Enterprises Inc., Securities Litigation,

USDC Eastern Michigan, Case No. Civ. 99-74231

The firm has also recently acted as Class Counsel in obtaining substantial benefits for shareholders in a number of actions, including:

# In re F & M Distributors Securities Litigation,

Eastern District of Michigan, Civ. No. 95 CV 71778 DT (Executive Committee Member) (\$20.25 million dollar settlement)

# James F. Schofield v. McNeil Partners, L.P. Securities Litigation,

Los Angeles Superior Court, Case No. BC 133799

# Resources High Equity Securities Litigation,

Los Angeles Superior Court, Case No. BC 080254

The firm has served and currently serves as Class Counsel in a number of antitrust class actions, including:

# In re Nasdaq Market-Makers Antitrust Litigation,

USDC Southern District of New York, 94 C 3996 (RWS), MDL Docket No. 1023

# In re Brand Name Prescription Drug Antitrust Litigation,

USDC Northern District of Illinois, Eastern Division, Master File No. 94 C 897

Glancy Binkow & Goldberg LLP has been responsible for obtaining favorable appellate opinions which have broken new ground in the class action or securities fields or which have promoted shareholder rights in prosecuting these actions. Glancy Binkow & Goldberg successfully argued the appeals in Silber v. Mabon I, 957 F.2d 697 (9th Cir. 1992) and Silber v. Mabon II, 18 F.3d 1449 (9th Cir. 1994), which are the leading decisions in the Ninth Circuit regarding the rights of opt-outs in class action settlements. In Rothman v. Gregor, 220 F.3d 81, Glancy Binkow & Goldberg won a seminal victory for investors before the Second Circuit Court of Appeals, which adopted a more favorable pleading standard for investors in reversing the District Court's dismissal of the investors' complaint. After this successful appeal, Glancy Binkow & Goldberg then recovered millions of dollars for defrauded investors of the GT Interactive Corporation. The firm recently argued Falkowski v. Imation Corp., 309 F.3d 1123 (9th Cir. 2002), as amended, 320 F.3d 905 (9th Cir. 2003) and favorably obtained the substantial reversal of a lower court's dismissal of a cutting edge, complex class action brought to seek redress for a group of employees whose stock options were improperly forfeited by a giant corporation in the course of its sale of the subsidiary at which they worked. The revived action is currently proceeding in the California state court system.

The firm is also involved in the representation of individual investors in court proceedings throughout the United States and in arbitrations before the American Arbitration Association, National Association of Securities Dealers, New York Stock Exchange, and Pacific Stock Exchange. Mr. Glancy has successfully represented litigants in proceedings against such major securities firms and insurance companies as A.G. Edwards & Sons, Inc., Bear Stearns, Merrill Lynch, Pierce, Fenner & Smith, Inc., Morgan Stanley, PaineWebber Incorporated, Prudential Insurance Company, Prudential Securities, Inc., and Shearson Lehman Brothers, Inc.

One of firm's unique skills is the use of "group litigation" - the representation of groups of individuals who have been collectively victimized or defrauded by large institutions. This type of litigation brought on behalf of individuals who have been similarly damaged often provides an efficient and effective economic remedy that frequently has advantages over the class action or individual action devices. The firm has successfully achieved results for groups of individuals in cases against major corporations such as Metropolitan Life Insurance Company, Inc., and Occidental Petroleum Corporation.

Glancy Binkow & Goldberg LLP currently consists of the following attorneys:

## THE FIRM'S PARTNERS

LIONEL Z. GLANCY, the founding partner of the firm, was born in Windsor, Canada on April 4, 1962. Mr. Glancy earned his undergraduate degree in political science in 1984 and his J.D. in 1986, both from the University of Michigan. He was admitted to practice in California in 1988, and in Nevada and before the U.S. Court of Appeals, Ninth Circuit in 1989. Mr. Glancy was Law Clerk to the Hon. Howard D. McKibben, U.S. District Court, District of Nevada, 1987.

**PETER A. BINKOW**, a partner in Glancy Binkow & Goldberg, was born in Detroit, Michigan on August 16, 1965. Mr. Binkow earned his degree in English Literature from the University of Michigan in 1988 and attended law school at the University of Southern California (J.D., 1994). Mr. Binkow joined the Law Offices of Lionel Z. Glancy upon graduation and became a partner in 2002.

Mr. Binkow has prosecuted lawsuits on behalf of consumers and investors in state and federal courts throughout the United States. He served as Lead or Co-Lead Counsel in many class action cases, including In re National Techteam Securities Litigation (\$11 million recovery for investors), In re Credit Acceptance Corporation Securities Litigation (\$2.5 million recovery), In re Lason Inc. Securities Litigation (\$12.68 million recovery), In re ESC Medical Systems, Ltd. Securities Litigation (\$17 million recovery) In re GT Interactive Securities Litigation (\$3 million recovery) and many others. Mr. Binkow has prepared and/or argued appeals before the Ninth Circuit, Sixth Circuit and Second Circuit Courts of Appeals.

Mr. Binkow is admitted to practice before the state of California, the United States District Courts for the Central, Northern and Southern Districts of California, the US District Court for the Eastern District of Michigan and the Ninth Circuit Court of Appeals. He is a member of the Los Angeles County Bar Association and the American Bar Association.

MICHAEL GOLDBERG, a partner in Glancy Binkow & Goldberg, specializes in federal securities, federal and state antitrust, and consumer fraud class action lawsuits. He has successfully litigated numerous cases which resulted in multi-million dollar recoveries for investors, consumers and businesses.

Mr. Goldberg was born in New York on April 27, 1966. He earned his BA degree in 1989 from Pitzer College - The Claremont Colleges, and his J.D. degree in 1996 from Thomas M. Cooley Law School. After graduation from law school, Mr. Goldberg joined the Law Offices of Lionel Z. Glancy and became a partner of Glancy Binkow & Goldberg in 2003. He was admitted to both the California and Florida bars in 1997 and is admitted to practice in numerous courts.

ROBIN BRONZAFT HOWALD, a native of Brooklyn, New York, returned home in 2001 to open the firm's New York City office. Mrs. Howald graduated magna cum laude from Barnard College in 1980, with a B.A. in psychology. In 1983, she received her J.D. from Stanford Law School, where she served as an Articles Editor for the Law Review. In addition to her current focus upon securities fraud and consumer class action matters, during her 20-year career, Mrs. Howald has handled cases in many different practice areas, including commercial disputes, professional malpractice, wrongful termination, bankruptcy, patent and construction matters. As outside counsel for the City of Torrance, California, she also handled a number of civil rights and land use matters, as well as a ground-breaking environmental action concerning Mobil Oil's Torrance refinery. Mrs. Howald has experience in pre-trial and trial procedure and has successfully prosecuted post-trial motions and appeals.

Mrs. Howald is a member of the bar of both California (1983) and New York (1995), and is admitted to practice in all federal judicial districts in California, the Southern and Eastern Districts of New York, and the United States Supreme Court. She co-authored "Potential Tort Liability in Business Takeovers" (California Lawyer, September 1986), was a speaker and contributing author at the Eighth Annual Current Environmental and Natural Resources Issues Seminar at the University of Kentucky College of Law (April 1991), and served as a Judge Pro Tem for the Los Angeles County Small Claims Court (1996-1997). Married in 1985, Mrs. Howald and her husband have two sons. An avid runner, Mrs. Howald has completed six marathons.

SUSAN G. KUPFER, the partner resident in the San Francisco office of Glancy Binkow & Goldberg, joined the firm in 2003. She is a native of New York City and received her A.B. degree from Mount Holyoke College in 1969 and her J.D. from Boston University School of Law in 1973. She did graduate work at Harvard Law School and, in 1977, was named Assistant Dean and Director of Clinical Programs at Harvard, supervising and teaching in that program of legal practice and related academic components.

For much of her legal career, she has been a professor of law. She has taught at Hastings College of the Law, Boston University School of Law, Golden Gate University School of Law and Northeastern University School of Law. Since 1991, she has been a lecturer on law at University of California, Berkeley, Boalt Hall, teaching Civil Procedure and Conflict of Laws. Her areas of academic expertise are Civil Procedure, Federal Courts, Conflict of Laws, Constitutional Law, Legal Ethics and Jurisprudence. Her publications include articles on federal civil rights litigation, legal ethics and jurisprudence. She has also taught various aspects of practical legal and ethical training, including trial advocacy, negotiation and legal ethics, to both law students and practicing attorneys.

She previously served as corporate counsel to The Architects Collaborative in Cambridge and San Francisco and was the executive director of the Massachusetts Commission on Judicial Conduct. She returned to the practice of law in San Francisco with Morgenstein & Jubelirer and Berman DeValerio Pease Tabacco Burt & Pucillo before joining the Firm. Her practice is concentrated in antitrust, securities and consumer complex litigation. She has been a member of the lead counsel team which achieved significant settlements in the following cases: In re Sorbates Antitrust Litigation (\$96.5 million settlement), In re Pillar Point Partners Antitrust Litigation (\$50 million settlement), In re Critical Path Securities Litigation (\$17.5 million settlement), In re New Era of Networks II Securities Litigation (\$5 million settlement).

She is a member of the Massachusetts and California State Bars and the United States District Courts for the Northern, Central and Southern districts of California, the District of Massachusetts, the First and Ninth Circuits Courts of Appeal and the U.S. Supreme Court.

## OF COUNSEL

**NEAL A. DUBLINSKY** was born in Flushing, New York on January 15, 1963. He earned his undergraduate from Yeshiva University in 1984, graduating summa cum laude, (highest-ranking graduate of his class) and was the recipient of the Dean Isaac Bacon Award for Excellence in the Humanities. Mr. Dublinsky earned his J.D. form New York University School of Law in 1987 where he participated in the Consumer Protection Clinical Program under renowned Professor Anthony G. Amsterdam. Mr. Dublinsky was admitted to the state bar of California in 1988.

KEVIN F. RUF was born in Wilmington, Delaware, December 7, 1961. Mr. Ruf graduated from the University of California at Berkeley in 1984 with a B.A. in Economics and earned his J.D. from the University of Michigan in 1987. Mr. Ruf was admitted to the State Bar of California in 1988. Mr. Ruf was an associate at the Los Angeles firm Manatt Phelps and Phillips from 1988 until 1992, where he specialized in commercial litigation. He was "of counsel" to the Los Angeles firm Corbin & Fitzgerald from 1993 until 2001 where he specialized in white collar criminal defense work, including matters related to National Medical Enterprises, Cynergy Film

Productions and the Estate of Doris Duke. Mr. Ruf has extensive trial experience, including jury trials, and considers his courtroom skills to be his strongest asset as a litigator. In his spare time, Mr. Ruf is an actor and comic. He is a full member of the world-famous Groundlings Theatre and has appeared in a number of television shows and films, including "Seinfeld," "Friends," "Spin City," and "Curb Your Enthusiasm." Mr. Ruf is a member of the Los Angeles County Bar Association.

ROBERT A. ZABB, attended Yale College and Columbia Law School, and received his B.A. in 1975 and his J.D. in 1979. Mr. Zabb is admitted to practice in California, New York and Massachusetts, in state and federal courts in those jurisdictions. His practice has consisted of general business litigation with a specialization in federal securities litigation on the plaintiff and defense sides. He has practiced actively in the U.S. District Courts for the Southern and Eastern Districts of New York, which are important centers for securities litigation. Mr. Zabb's accomplishments are reflected in numerous reported case decisions, particularly in the cases known as SEC v. Thrasher (Southern District of New York) and In re MTC Securities Litigation (Eastern District of New York). Mr. Zabb has had the privilege of arguing a case before the U.S. Supreme Court. This was a securities case delineating the permissible scope of a private right of action, and is known as Employers Insurance of Wausau v. Musick, Peeler and Garrett.

ROBERT PERKINS was born May 22, 1955, in Highland Park, Illinois. Mr. Perkins attended the University of Illinois, Champaign, Urbana, graduating in 1979 with a B.S. in Finance (honors). Mr. Perkins thereafter attended law school at the University of Missouri, Columbia, graduating in 1982 (CJS awards - State and Local Taxation; Internship - Missouri Supreme Court, Chief Judge Albert Rendlen). Following law school, Mr. Perkins ran his own law firm, practicing complex litigation, product liability litigation and class action litigation.

MICHAEL B. ACKERMAN was born in Brooklyn, New York, June 30, 1962. He received his Bachelor of Arts from Columbia University in 1984 and attended Fordham University Law School (J.D. 1987). Mr. Ackerman was admitted to the New York bar in 1989 and the California bar in 1990. Mr. Ackerman is a member of the American Bar Association, the Los Angeles County Bar Association and the Association of the Bar of the City of New York.

CLAUDIA J. BUGH joined Glancy Binkow & Goldberg LLP in 2003. Ms. Bugh is California licensed as both an attorney and a C.P.A. She previously practiced law in South Bend, Indiana after graduating summa cum laude from the Notre Dame Law School in 1994. Before attending law school Ms. Bugh was an auditor with Deloitte and Touche. She has a bachelors degree in business economics from the University of California-Santa Barbara where she graduated in 1988 with the highest honors.

# **ASSOCIATES**

DALE MacDIARMID is a native of Los Angeles, California. He holds a B.A. in Journalism (with Distinction) from the University of Hawaii, and a J.D. from Southwestern University School of Law, where he was member of the Board of Governors of the Interscholastic Trial Advocacy Honors Program. He is admitted to practice in California and before the United States District Court for the Central District of California. Dale is a member of Kappa Tau Alpha, the national journalism honor society, and before joining Glancy Binkow & Goldberg he was a writer and editor for newspapers and magazines in Honolulu and Los Angeles.

OPERATIVE FIRM RESUME.wpd 0 Pref7 0 9 1 **DANIEL HARGIS** was born in Huntsville, Alabama in 1975. He graduated from UCLA (B.A., 1998), and UCLA School of Law in 2002. He was admitted to the California bar in 2003.

**AVRAHAM (AVI) NOAM WAGNER** joined Glancy Binkow & Goldberg LLP in 2003 after graduating from Georgetown University Law Center. During law school he gained valuable experience prosecuting securities cases while working at the United States Securities and Exchange Commission in Washington, D.C. Mr. Wagner is conversant in both Italian and Hebrew.

# Case 3:03-cv-02761-K

# **CERTIFICATE OF SERVICE**

I certify that a true and correct copy of the foregoing was served on the following by certified mail, return receipt requested, on this the \_\_\_\_\_\_ day of October, 2004.

Timothy R. McCormick Michael Stockham Thompson & Knight, LLP 1700 Pacific Avenue, Suite 3300 Dallas, Texas 75201